

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
28 August 2003 (28.08.2003)

PCT

(10) International Publication Number  
**WO 03/070782 A1**

(51) International Patent Classification<sup>7</sup>: **C08F 8/00**

(21) International Application Number: PCT/US03/03805

(22) International Filing Date: 10 February 2003 (10.02.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
10/077,645 15 February 2002 (15.02.2002) US  
10/348,367 21 January 2003 (21.01.2003) US

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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: COMPOSITIONS CONTAINING COPOLYMERS OF POST-POLYMERIZATION REACTED (METH)ACRYLATE MONOMERS

(57) Abstract: A composition that includes a non-gelled copolymer that includes one or more residues of a first polymerizable ethylenically unsaturated monomer containing a pendant amide, ester or carboxylic acid group. The nearest neighboring monomer residues of the majority of the first monomer residues along the copolymer molecule do not contain pendant carbonyl functional groups. The amide, ester or carboxylic acid group of the residues of the first monomer are the post polymerization transesterification, transamidification or hydrolysis reaction product of a C1-C4 alkyl ester group in the unreacted first monomer with a compound selected from amine functional compounds and hydroxy functional compounds. The reacted copolymer is substantially free of cyclical structures resulting from the transesterification, transamidification or hydrolysis reaction. The compositions may be thermosetting compositions useful in multi-layer composite coatings.

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COMPOSITIONS CONTAINING COPOLYMERS OF  
POST-POLYMERIZATION REACTED (METH)ACRYLATE MONOMERS

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## BACKGROUND OF THE INVENTION

1. Field of the Invention

[0001] The present invention relates generally to compositions that contain copolymers of vinyl monomers. More specifically, the present invention is directed to compositions that contain functional copolymers derived by post-polymerization reaction to incorporate functional groups into the copolymer.

2. Description of Related Art

[0002] Functional polymers used in liquid, powder, and electrodepositable coating compositions are typically random copolymers that include functional group-containing (meth)acrylic monomers. Such a functional copolymer will contain a mixture of polymer molecules having varying individual functional equivalent weights and polymer chain structures. In such a copolymer, the functional groups are located randomly along the polymer chain. Moreover, the number of functional groups is not divided equally among the polymer molecules, such that some polymer molecules may actually be free of functionality.

25 [0003] Additionally, attempts to introduce functionality into (meth)acrylic ester containing homopolymers and copolymers by reacting the polymer with an appropriate functional compound after polymerization often results in the formation of cyclic structures along the polymer backbone where (meth)acrylic residues are positioned next to each other along the polymer chain, i.e. nearest neighbors. The formation of cyclic structures occurs when nearest neighbor acrylic or methacrylic residues each react with a functional group in the functional compound resulting in a pendant linkage between the nearest neighbor acrylic or methacrylic residues. The result

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is a loss of functionality in the polymer as well as an undesirable change in physical properties such as glass transition temperature, solubility and compatibility with other materials.

- 5 [0004] (Meth)acrylic structural feature may be introduced into a copolymer by utilizing (meth)acrylonitrile as a comonomer. The nitrile containing moiety in (meth)acrylonitrile may be converted to the corresponding amide or carboxylic acid through hydrolysis. Methods for hydrolysis of nitrile containing polymers are disclosed, for example, in U.S. Patent No. 2,751,367 to Yost et al., which is herein incorporated by reference. A common problem in such hydrolysis reactions is the formation of cyclic acid imides when the nitrile containing moieties are nearest neighbors (see for example, U.S. Patent No. 4,683,286 to Krakkay et al., col. 5, lines 1-42, which is herein incorporated by reference).

- [0005] As an example, in thermosetting compositions, the formation of a three-dimensional crosslinked network is dependent on the available functionality, the functional equivalent weight as well as the architecture of the individual polymer molecules that comprise it. Polymer molecules having little or no reactive functionality (or having functional groups that are unlikely to participate in crosslinking reactions due to their locations along the polymer chain) will contribute little or nothing to the formation of the three-dimensional crosslinked network, resulting in decreased crosslink density and less than optimum physical properties of the finally formed thermoset coating.

- 30 [0006] It would, therefore, be desirable to provide compositions that include functional (meth)acrylic copolymers that are free of undesirable cyclization reactions and provide unencumbered access to the functional groups therein to participate in desired reactions, such as thermosetting and curing reactions.

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## SUMMARY OF THE INVENTION

[0007] The present invention is directed to a composition that includes a non-gelled copolymer comprising one or more residues of a first polymerizable ethylenically unsaturated monomer containing a pendant amide, ester or carboxylic acid group. The nearest neighboring monomer residues of the majority of the first monomer residues along the copolymer molecule do not contain pendant carbonyl functional groups. The amide, ester or carboxylic acid group of the residues of the first monomer are the post polymerization transesterification, transamidification or hydrolysis reaction product of a group in the residue of a first polymerizable ethylenically unsaturated compound such as a C<sub>1</sub> to C<sub>4</sub> alkyl ester group with a compound selected from amine functional compounds and hydroxy functional compounds. The reacted copolymer is substantially free of cyclical structures resulting from the transesterification, transamidification or hydrolysis reaction.

[0008] The present invention is also directed to compositions including the non-gelled copolymer that contain co-reactive functional groups. In particular, these compositions may be thermosetting compositions. The present invention is additionally directed to multi-layer composite coatings that include one or more coating layers that include the thermosetting compositions.

[0009] In a further embodiment, the present compositions include a non-gelled copolymer containing reactive functional groups and at least one other component, which contains functional groups that are reactive with the functional groups of the non-gelled copolymer.

## DETAILED DESCRIPTION OF THE INVENTION

[0010] Other than in the operating examples, or where otherwise indicated, all numbers or expressions referring to quantities of ingredients, reaction conditions, etc., used in

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the specification and claims are to be understood as modified in all instances by the term "about." Various numerical ranges are disclosed in this patent application. Because these ranges are continuous, they include every value between the minimum and maximum values. Unless expressly indicated otherwise, the various numerical ranges specified in this application are approximations.

[0011] As used herein and in the claims, the term "copolymer composition" is meant to include a synthesized copolymer as well as residues from initiators, catalysts, other elements attendant to the synthesis of the copolymer, but not covalently incorporated thereto and other materials, compounds and the like that may be intentionally mixed with the copolymer. Such residues and other elements considered as part of the copolymer composition are typically mixed or commingled with the copolymer such that they tend to remain with the copolymer when it is transferred between vessels or between solvent or dispersion media.

[0012] As used herein and in the claims, the term "(meth)acrylate" and like terms is intended to include both acrylates and methacrylates.

[0013] As used herein and in the claims, the term "majority monomer residues" refer to 50% plus one of the indicated monomer residues.

[0014] As used herein and in the claims, the term "substantially free" is meant to indicate that a material is present as an incidental impurity. In other words, the material is not intentionally added to an indicated composition, but may be present at minor or inconsequential levels because it was carried over as an impurity as part of an intended composition component.

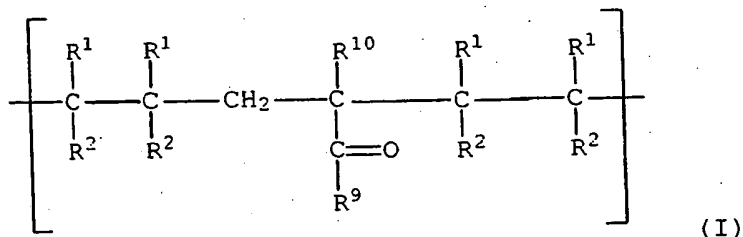
[0015] The present invention is directed to a composition that includes a non-gelled copolymer. As used herein and in the claims, the terms "non-gelled" or "ungelled" refer to reaction products that are substantially free of crosslinking

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and have a measurable intrinsic viscosity when dissolved in a suitable solvent. The intrinsic viscosity is determined by plotting reduced viscosity versus concentration and extrapolating to zero concentration as is well known in the art. A gelled reaction product, on the other hand, since it is of essentially infinitely high molecular weight, will have an intrinsic viscosity too high to measure.

[0016] The composition of the present invention includes a non-gelled copolymer that contains one or more residues of a first polymerizable ethylenically unsaturated monomer that contains a pendant amide, ester or carboxylic acid group. In the majority of cases the monomer residues immediately adjacent or "nearest neighboring" monomer residues of the first monomer residues along the present non-gelled copolymer molecule do not contain pendant carbonyl functional groups.

[0017] As a non-limiting example, the non-gelled copolymer is derived from a starting copolymer containing a C<sub>1</sub>-C<sub>4</sub> alkyl ester group, which is modified by a post polymerization reaction. The starting copolymer typically includes residues having the following structural units (I):

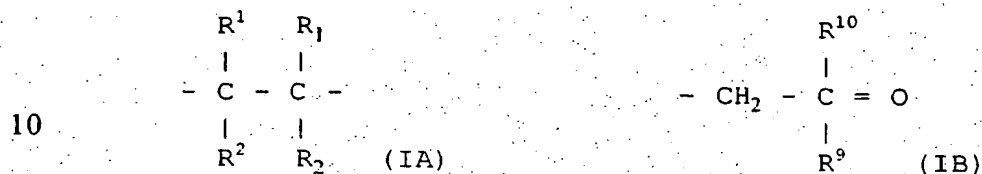


where R<sup>1</sup> and R<sup>2</sup> are independently groups that do not include carbonyl functional groups and R<sup>10</sup> is selected from H and C<sub>1</sub> to C<sub>4</sub> alkyl. As a non-limiting example, R<sup>1</sup> and R<sup>2</sup> may be selected from H, C<sub>1</sub> to C<sub>4</sub> alkyl and C<sub>6</sub> to C<sub>24</sub> aryl, C<sub>7</sub> to C<sub>31</sub> aralkyl, C<sub>7</sub> to C<sub>31</sub> alkaryl and C<sub>1</sub> to C<sub>4</sub> alkoxy. C(O)R<sup>9</sup> is a group that is capable of a reaction selected from transesterification, transamidification and hydrolysis with hydroxy functional compounds or amine functional compounds; R<sup>9</sup> in the copolymer

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can be a group  $-O-R^{33}$ , where  $R^{33}$  is  $C_1$  to  $C_4$  alkyl such as methyl, ethyl, linear or branched propyl or butyl.

[0018] The structural units depicted in structure (I) can be associated with a copolymer containing alternating monomer residues of the following structures:



where the monomer residue (IA) is derived from a so called "donor" monomer and the monomer residue (IB) is derived from a so called acceptor monomer.

[0019] An alternating copolymer such as that depicted by structure (I) may contain at least 30 mol % of alternating monomer residues, in some cases at least 40 mol %, in other cases at least 50 mol %, in some situations at least 60 mol %, in other situations at least 75 mol % and in some instances the copolymer contains 100 mol % of the alternating monomer residues depicted in structure (I).

[0020] The concept of "donor" monomers and "acceptor monomers" has been described and has been quantified to an extent by the Alfrey-Price Q-e scheme (Robert Z. Greenley, Polymer Handbook, Fourth Edition, Brandrup, Immergut and Gulke, editors, Wiley & Sons, New York, NY, pp. 309-319 (1999)). All e values recited herein are those appearing in the Polymer Handbook unless otherwise indicated.

[0021] In the Q-e scheme, Q reflects the reactivity of a monomer and e represents the polarity of a monomer. A positive value for e indicates that a monomer is an acceptor monomer. A low or negative value for e indicates that a monomer is a donor monomer.

[0022] As referred to herein, a "strong acceptor monomer" is meant to include those monomers with an e value greater than 2.0. The term "mild acceptor monomer" is meant to include

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those monomers with an e value greater than 0.5 up to and including those monomers with an e value of 2.0. Conversely, the term "strong donor monomer" is meant to include those monomers with an e value of less than -1.5, and the term "mild donor monomer" is meant to include those monomers with an e value of less than 0.5 to those with an e value of -1.5.

[0023] Typically, at least 15 mol % of the copolymer is derived from a donor monomer of the following structure:



where  $\text{R}^1$  and  $\text{R}^2$  are defined above in structure I. Further, at least 15 mol % of the copolymer is derived from an acceptor monomer of the following structure:



where  $\text{R}^9$  and  $\text{R}^{10}$  are defined above in structure I.

[0024] In an embodiment of the present invention, the copolymer incorporates a substantial portion of alternating residues of a mild donor monomer as described by structure IC and a mild acceptor monomer, which is an acrylic monomer as depicted in structure ID. A non-limiting list of published e values for monomers that may be included as monomers described by structure IC and acrylic monomers of structure ID are shown in Table 1.



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Table 1

Alfrey-Price e values for Selected Monomers

Monomer	e value
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Monomers of structure I(C)

Isobutylene	-1.20 <sup>1</sup>
Diisobutylene	0.49 <sup>2</sup>

Acrylic Monomers of structure I(D)

Methyl acrylate	0.64 <sup>1</sup>
Ethyl acrylate	0.55 <sup>1</sup>
Butyl acrylate	0.85 <sup>1</sup>

<sup>1</sup>Polymer Handbook, Fourth Edition (1999)<sup>2</sup>Rzaev et al., Eur. Polym. J., Vol. 24, No. 7, pp. 981-985 (1998)

[0025] Examples of suitable donor monomer described by Structure IC are isobutylene, diisobutylene, dipentene, 1-octene and isoprenol. Suitable other donor monomers that may be used in the present invention include, but are not limited to, ethylene, butene, styrene, substituted styrenes, methyl styrene, vinyl ethers, vinyl pyridines, divinyl benzene, vinyl naphthalene, and divinyl naphthalene.

[0026] The mild donor monomer residue of structure I(A) can be present in the copolymer composition at a level of at least 15 mol %, in some cases at least 25 mol %, typically at least 30 mol %, and, in some cases, at least 35 mol %. The mild donor monomer residues of structure I(A) also can be present in the copolymer composition at a level of up to 50 mol %, in some cases up to 47.5 mol %, typically up to 45 mol %, and, in some cases, up to 40 mol %.

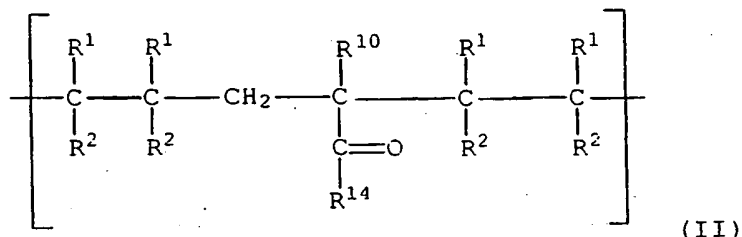
[0027] Examples of suitable acceptor monomers that may be used in the present invention include methyl acrylate, ethyl acrylate, n-butyl acrylate, and isobutyl acrylate.

[0028] Suitable other acceptor monomers that may be used in the present invention include, but are not limited to, isobornyl acrylate, acrylamide, perfluoro methyl ethyl acrylate, perfluoro ethyl ethyl acrylate, perfluoro butyl ethyl acrylate, trifluoromethyl benzyl acrylate, perfluoro alkyl ethyl, chlorotrifluoro ethylene, 2-ethylhexyl acrylate,

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vinyl halides, including, but are not limited to, vinyl chloride and vinylidene fluoride.

- [0029] After the post polymerization reaction, the non-gelled copolymer typically includes residues having the following structural units (II):



where each occurrence of  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^{10}$  are as defined above.

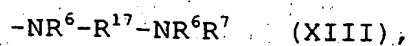
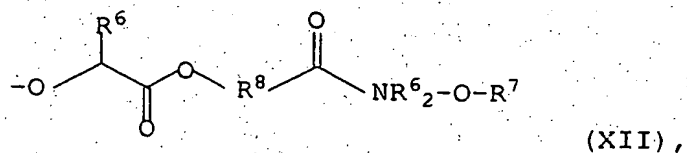
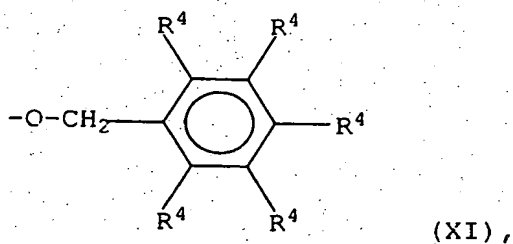
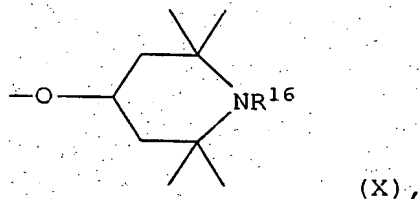
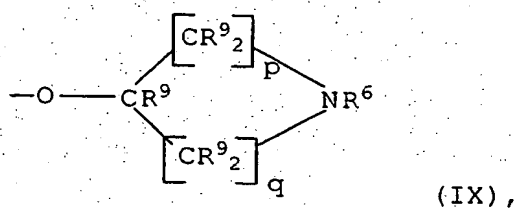
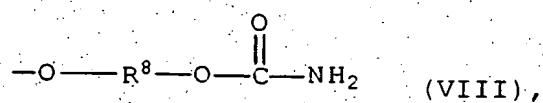
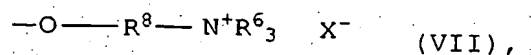
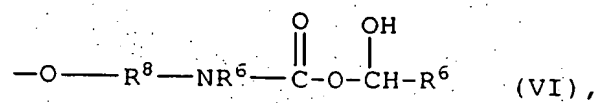
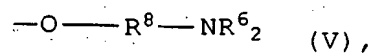
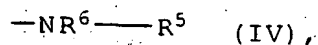
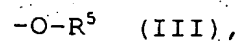
- 10 [0030] As shown in structural unit II, the nearest neighboring monomer residues of the first monomer residues along the present non-gelled copolymer molecule do not contain pendant carbonyl functional groups. In other words, the  $-\text{CH}_2-\text{CR}^{10}(\text{C}(\text{O})\text{R}^{14})-$  moiety in structural unit II is flanked by
- 15  $-\text{CR}^1\text{R}^2-\text{CR}^1\text{R}^2-$  moieties that do not contain carbonyl functional groups. In this way the  $-\text{CH}_2-\text{CR}^{10}(\text{COR}^{14})-$  moiety is said to be isolated along the polymer chain.

- [0031] The  $\text{C}(\text{O})\text{R}^{14}$  group of the  $-\text{CH}_2-\text{CR}^{10}(\text{C}(\text{O})\text{R}^{14})-$  moiety in structural unit II is typically an amide, ester or carboxylic acid group formed by a post polymerization reaction such as a transesterification, a transamidification or a hydrolysis reaction with a  $\text{C}_1-\text{C}_4$  alkyl ester group (a non-limiting example being the  $\text{C}(\text{O})\text{R}^9$  group of the  $-\text{CH}_2-\text{CR}^{10}(\text{C}(\text{O})\text{R}^9)-$  moiety in structural unit I) in the unreacted first monomer with a
- 20 compound selected from amine functional compounds and hydroxy functional compounds. The reacted copolymer is substantially free of cyclical structures resulting from the transesterification, transamidification or hydrolysis reaction.

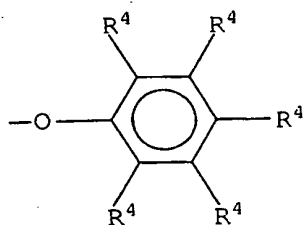
- 30 [0032] The transesterification, transamidification or hydrolysis reaction of group  $\text{R}^9$  in structural unit I results in

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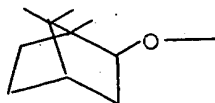
the formation of group  $R^{14}$  in structural unit II. Each occurrence of  $R^{14}$  in structural unit II of the copolymer is independently one or more of structural formulas III - XV:



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(XIV), and



(XV)

- where each occurrence of  $R^4$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl.  $R^5$  is a radical selected from H,
- 5 linear, cyclic or branched  $C_1$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol, alkyl isocyanate, aralkyl isocyanate, blocked alkyl isocyanate, blocked alkaryl isocyanate and radicals derived from polyesters, polyethylene glycol or polypropylene glycol.
- 10 Each occurrence of  $R^6$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl and alkylol,  $R^7$  is selected from the group consisting of H, methyl, linear, cyclic or branched  $C_2$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol and polyamide radicals.  $R^8$  is a
- 15 linking group selected from linear, cyclic or branched  $C_2$  to  $C_{20}$  alkylene, alkenylene, arylene, alkarylene, aralkylene and oxyalkylene.  $R^{16}$  is selected from hydrogen,  $C_1$  to  $C_4$  alkyl,  $-OH$ ,  $-OR^7$  and  $-C(O)-R^7$ .  $R^{17}$  is a radical derived from polyethylene glycol, polypropylene glycol and mixtures thereof, p and q are
- 20 each independently from 0 to 6 and the sum of p+q is at least 2 and not more than 8. Each occurrence of  $R^9$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl. X is an anion derived from one or more organic or inorganic acids or propylene oxide.
- 25 [0033] As used herein and in the claims, the term "linear" refers to hydrocarbon and/or polymer chains that substantially in a straight line. As used herein and in the claims, the term "cyclic" refers to any compound or structural unit of a compound that is made up of a ring of atoms covalently bonded

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together. As used herein and in the claims, the term "branched" refers to hydrocarbon and/or polymer chains that contain side chains connected or otherwise covalently bonded to the main chain. As used herein and in the claims, the term "alkyl" refers to a saturated hydrocarbon having the general formula  $C_nH_{2n+1}$  covalently bonded to another atom, compound or molecule. As used herein and in the claims, the term "alkenyl" refers to a hydrocarbon that contains one or more carbon-carbon double bonds.

- 10 [0034] As used herein and in the claims unless indicated to the contrary, the term "aryl" refers to a group obtained by removing a hydrogen atom from an aromatic compound, non-limiting examples of which include phenyl, naphthyl, phenanthryl, phenalenyl, anthracenyl, triphenylenyl, 15 fluoranthenyl, pyrenyl, pentacenyl, chrysenyl, naphthacenyl, hexaphenyl, picenyl and perylenyl. As used herein and in the claims, the term "aralkyl" refers to an aryl group, where one or more of the hydrogen atoms have been substituted or replaced with an alkyl group of from 1 to 24 carbon atoms. As 20 used herein and in the claims, the term "alkaryl" refers to a group that contains an alkyl group of from 1 to 24 carbon atoms where one or more of the hydrogen atoms have been substituted or replaced with an aryl group.

- [0035] As used herein and in the claims, the term 25 "alkylol" refers to any alkyl or alkenyl group where one or more hydrogen atoms have been replaced or substituted with a hydroxyl group. As used herein and in the claims, the term "aralkylol" refers to an aralkyl group where one or more hydrogen atoms have been replaced or substituted with a 30 hydroxyl group. As used herein and in the claims, the term "alkyl thiol" refers to any alkyl or alkenyl group where one or more hydrogen atoms have been replaced or substituted with an -SH group. As used herein and in the claims, the term "aralkyl thiol" refers to an aralkyl group where one or more 35 hydrogen atoms have been replaced or substituted with a -SH group.

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[0036] As used herein and in the claims, the term "alkyl isocyanate" refers to any alkyl or alkenyl group where one or more hydrogen atoms have been replaced or substituted with a -NCO group. As used herein and in the claims, the term

5 "aralkyl isocyanate" refers to an aralkyl group where one or more hydrogen atoms have been replaced or substituted with a -NCO group.

[0037] As used herein and in the claims, the terms "capped isocyanate" or "blocked isocyanate" refer to isocyanate groups

10 where the -NCO group has been reacted with an appropriate "capping" or "blocking" agent. Suitable capping or blocking agents include, but are not limited to hydroxy functional compounds, such as ethylene glycol butyl ether, phenol and p-hydroxy methylbenzoate; 1H-azoles, such as 1H-1,2,4-triazole

15 and 1H-2,5-dimethyl pyrazole; lactams, such as  $\epsilon$ -caprolactam and 2-pyrrolidone; ketoximes, such as 2-propane oxime and 2-butanone oxime and those described in U.S. Patent No. 5,508,337 at column 7, lines 11 through 22, the disclosure of which is incorporated herein by reference. Other capping

20 groups include morpholine, 3-aminopropyl morpholine and n-hydroxy phthalimide.

[0038] When  $R^5$  is a polyester residue, it is typically derived from a hydroxy functional polyester. Useful hydroxy functional polyesters typically include the esterification

25 product of a polycarboxylic acid or an anhydride thereof with a polyol and/or an epoxide. As a non-limiting example, the polyol may be a linear or cyclic polyol. Useful cyclic polyols can include any of a variety of polyhydric cyclic compounds well known in the art. Non-limiting examples of cyclic polyols

30 include Bisphenol A, Bisphenol F, Bisphenol E, M, P, Z, and the like, hydrogenated Bisphenol A, hydrogenated Bisphenol F, hydrogenated Bisphenol E, M, P, Z, and the like cyclohexyl dimethanol, cyclohexane diol and mixtures thereof. Polyols that can be used to prepare the polyester include diols such

35 as alkylene glycols. Non-limiting examples of linear polyols

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include ethylene glycol, 1,6-hexanediol, neopentyl glycol, 2,2-dimethyl-3-hydroxypropyl-2,2-dimethyl-3-hydroxypropionate and polyether glycols such as poly(oxytetramethylene)glycol, and the like.

- 5 [0039] As a non-limiting example, the polycarboxylic acid may be a linear or cyclic polycarboxylic acid or anhydride. The cyclic polycarboxylic acid or anhydride can be any cyclic compound having two or more carboxylic acid groups per molecule. Non-limiting examples of suitable cyclic
- 10 polycarboxylic acids or anhydrides include hexahydrophthalic acid; phthalic acid, isophthalic acid, terephthalic acid, trimellitic acid, anhydrides thereof, and mixtures thereof. Non-limiting examples of linear carboxylic acids include
- 15 adipic acid, sebacic acid, maleic acid, fumaric acid, tricarballic acid, anhydrides thereof, and mixtures thereof.

[0040] The hydroxy functional polyester may be prepared by any of the various methods known in the art, non-limiting examples of which are disclosed in U.S. Patent No. 6,451,928 to Ambrose et al.

- 20 [0041] When R' is a polyamide residue, it is typically derived from an amine functional polyamide. Useful amine functional polyamides typically include the condensation product of a polycarboxylic acid or an anhydride thereof with a polyamine, as is well known in the art. In an embodiment of
- 25 the present invention, the polyamine may be one or more diamines. The diamines used in the preparation of the polyamide may be one or more of the known aliphatic, cycloaliphatic or aromatic diamines having from about 2 to about 20 carbon atoms. Non-limiting examples of suitable
- 30 diamines include ethylene diamine, 1,3-diaminopropane, 1,4-diaminobutane, p-xylene diamine, 1,6-hexamethylene diamine, cyclohexyl amine, bis(4-cyclohexylamine)methane, 2,2'-bis(4-cyclohexylamine)propane, polyglycol diamines, isophorone diamine, m-xylene diamine, cyclohexylbis(methylamines),
- 35 polyoxyalkylenediamine (examples of which include the

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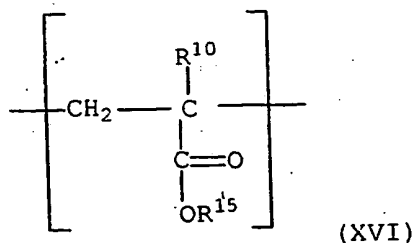
JEFFAMINE diamines available from Huntsman, Austin, TX), 2-methyl-1,5-pentane diamine, 1,4-bis-(2-aminoethyl)benzene, dimer diamine, polyether diamines, methylpentamethylene diamine, and piperazine. As a non-limiting example, the  
 5 polycarboxylic acid may be a linear or cyclic polycarboxylic acid or anhydride as described above.

[0042] Useful polyamides include those available under the tradename VERSAMID, available from Cognis Corp., Cincinnati, OH.

10 [0043] In formula II,  $R^{14}$  may be a residue that is incorporated into the copolymer by polymerizing a monomer having the formula  $CH_2=CR^{10}-C(O)-R^{14}$ , where  $R^{10}$  and  $R^{14}$  are defined as described above. Alternatively,  $R^{14}$  may be a group that is introduced into the copolymer through a  
 15 transesterification, transamidation or hydrolysis reaction with a residue provided from an acrylate ester as is described below.

[0044] In the present copolymer, the structural units (II) may be present at a level of at least 30 mol %, in some cases  
 20 at least 40 mol %, in other cases at least 50 mol %, in some situations at least 60 mol %, in other situations at least 75 mol % of the copolymer and in particular cases, the copolymer include 100% of repeating residues of structural units (II). The amount of structural units (II) in the copolymer will  
 25 depend on the desired properties required of the copolymer, including the degree of functionality.

[0045] When the copolymer does not include 100% of the residues of structural units (II), the copolymer may include residues having the structural units (XVI):





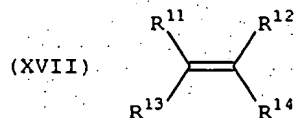
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wherein  $R^{10}$  is as defined above and  $R^{15}$  is selected from the group consisting of methyl, ethyl, linear, cyclic or branched  $C_3$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl and aralkyl. Residues having structural units (XVI) may result from specific

- 5 transesterification reactions or remain as unreacted acrylate ester residues after a transesterification, transamidation or hydrolysis has been performed.

- [0046] Structural units (XVI) may be present in the copolymer at trace levels of up to 0.5 mol %, or structural units (XV) may be present, in some cases, up to 1 mol %, in other cases up to 5 mol % and in some situations up to 10 mol % of the copolymer when structural units (XVI) result from incomplete reaction. When structural units (XVI) provide specific desirable characteristics to the copolymer,
- 15 structural units (XVI) may be present at a level of least 1 mol %, in some cases at least 5 mol %, in other cases at least 10 mol %, in some situation at least 20 mol %, in other situations at least 30 mol % and in some instances at least 40 mol % of the copolymer. Additionally, structural units
- 20 (XVI) may be present at up to 70 mol %, in some cases up to 60 mol % and in other cases up to 50 mol % of the copolymer. The level of structural units (XVI) may vary between any of the levels recited above.

- [0047] In an embodiment of the present invention, the copolymer may include one or more residues derived from other ethylenically unsaturated monomers of general formula XVII:

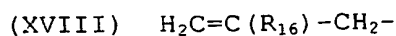


- where  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$  and  $R^{14}$  are independently selected from H, halides,  $CF_3$ , straight or branched alkyl of 1 to 20 carbon atoms, aryl of 6 to 12 carbon atoms, unsaturated straight or branched alkenyl or alkynyl of 2 to 10 carbon atoms, unsaturated straight or branched alkenyl of 2 to 6 carbon atoms substituted with a halogen,  $C_3$ - $C_8$  cycloalkyl, heterocyclyl and phenyl. In a particular embodiment of the
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present invention, the other ethylenically unsaturated monomers of formula XVII may be one or more allylic monomers.

[0048] As used herein and in the claims, by "allylic monomers" is meant monomers containing substituted and/or unsubstituted allylic functionality, i.e., one or more radicals represented by the following general formula XVIII,



where  $R_{16}$  is hydrogen, halogen or a  $C_1$  to  $C_4$  alkyl group. Most commonly,  $R_{16}$  is hydrogen or methyl and, consequently, general formula XVIII represents the unsubstituted (meth)allyl radical. Examples of allylic monomers may each independently be residues of, but are not limited to, (meth)allyl ethers, such as methyl (meth)allyl ether and (meth)allyl glycidyl ether; allyl esters of carboxylic acids, such as (meth)allyl acetate, (meth)allyl butyrate, (meth)allyl 3,4-dimethoxybenzoate and (meth)allyl benzoate.

[0049] As used herein and in the claims, unless otherwise specified, the term "molecular weight" refers to number average molecular weight as determined by gel permeation chromatography using polystyrene standards. As used herein and in the claims, the term "polydispersity index" or "PDI" refers to the weight average molecular weight ( $M_w$ ) divided by the number average molecular weight ( $M_n$ ) of the copolymer as determined by gel permeation chromatography using polystyrene standards.

[0050] The molecular weight of the copolymer will vary depending on a given desired use and/or desired physical properties and may be as high as 1,000,000 or as low as 250. The molecular weight of the copolymer may be at least 500, in some cases at least 1,000, in other cases at least 1,500, in some situations at least 2,000 and in other situations at least 2,500. The molecular weight of the copolymer may be up to 10,000, in some cases up to 14,000, in other cases up to 16,000, in some situations up to 25,000, in other situations up to 50,000, in instances requiring high molecular weight up

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to 100,000, in other such instances up to 250,000 and in certain high molecular weight requirements up to 500,000. The molecular weight of the copolymer may vary between any of the values recited above.

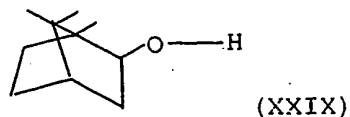
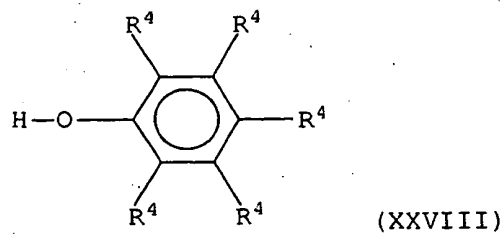
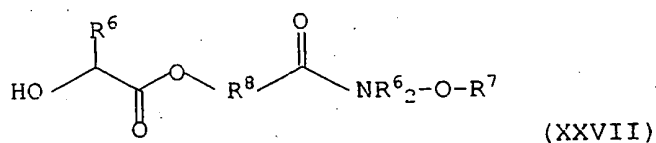
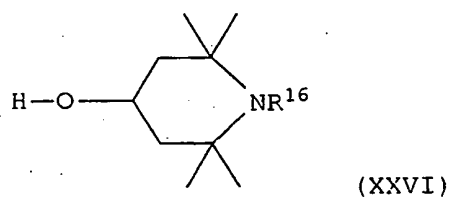
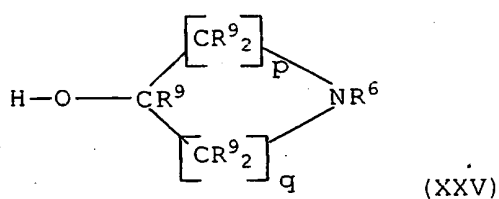
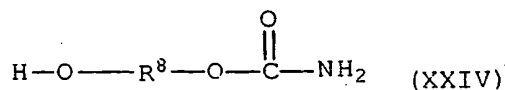
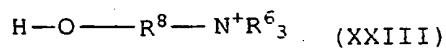
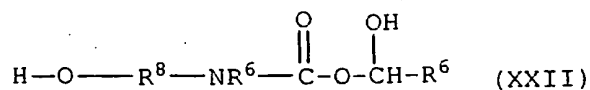
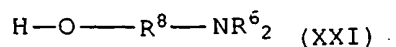
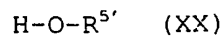
5 [0051] The PDI of the copolymer may vary depending on the requirements of the desired use or application as well as the method used to prepare the copolymer. The PDI is typically at least 1, often at least 1.25 or at least 1.5. The PDI may be less than 3, less than 4 and in other cases at less than 5 and  
10 in some situations less than 7. Some polymerization methods result in large PDIs, which for the present copolymer may be as high as 30, in some cases up to 25, in other cases up to 20, in some polymerization methods up to 15 and in other methods up to 10. The PDI may vary between any of the levels  
15 recited above.

[0052] As was mentioned above, the present composition may include the reaction product of a reactant selected from hydroxy functional compounds and amine functional compounds with a copolymer comprising residues of structure I.

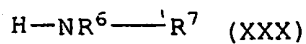
20 [0053] In an embodiment of the present invention, the residue structural unit  $-\text{CH}_2-\text{CR}^{10}(\text{C}(\text{O})-\text{R}^9)-$  may be derived from a nitrile containing moiety  $-\text{CH}_2-\text{CR}^{10}(\text{CN})-$ . In other words, the residues of the structural unit may be derived from a nitrile containing copolymer that has been hydrolyzed. Such a  
25 structural feature can be obtained, for example, by utilizing acrylonitrile as a comonomer. The nitrile containing moiety may be converted to the corresponding amide or carboxylic acid through hydrolysis. Methods for hydrolysis of nitrile containing polymers are disclosed, for example, in U.S. Patent  
30 No. 2,751,367 to Yost et al., which is herein incorporated by reference.

[0054] Any suitable hydroxy compound may be used in the present invention. Suitable hydroxy compounds include, but are not limited to water and hydroxy functional compounds selected  
35 from structures XX-XXIX.

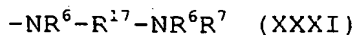
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[0055] Any suitable amine may be used in the present invention. Suitable amines include, but are not limited to amine functional compounds selected from structures XXX and XXXI.



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[0056] For structures XX-XXXI, each occurrence of  $\text{R}^4$  is independently selected from hydrogen and  $\text{C}_1$  to  $\text{C}_4$  alkyl,  $\text{R}^{5'}$  is a radical selected from linear, cyclic or branched  $\text{C}_2$  to  $\text{C}_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol, alkyl isocyanate, aralkyl isocyanate, blocked alkyl isocyanate, blocked alkaryl isocyanate and radicals derived from, polyesters, polyethylene glycol or polypropylene glycol, each occurrence of  $\text{R}^6$  is independently selected from hydrogen and  $\text{C}_1$  to  $\text{C}_4$  alkyl and alkylol,  $\text{R}^7$  is selected from H, methyl, linear, cyclic or branched  $\text{C}_2$  to  $\text{C}_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol and polyamide radicals,  $\text{R}^8$  is a linking group selected from linear, cyclic or branched  $\text{C}_2$  to  $\text{C}_{20}$  alkylene, alkenylene, arylene, alkarylene, aralkylene and oxyalkylene,  $\text{R}^{16}$  is selected from hydrogen,  $\text{C}_1$  to  $\text{C}_4$  alkyl,  $-\text{OH}$ ,  $-\text{OR}^7$  and  $-\text{C}(\text{O})-\text{R}^7$ ,  $\text{R}^{17}$  is a radical derived from polyethylene glycol, polypropylene glycol and mixtures thereof,  $p$  and  $q$  are each independently from 0 to 6 and the sum of  $p+q$  is at least 2 and not more than 8, each occurrence of  $\text{R}^9$  is independently selected from hydrogen and  $\text{C}_1$  to  $\text{C}_4$  alkyl, and  $\text{X}$  is an anion derived from one or more organic or inorganic acids.

[0057] Non-limiting examples of suitable alcohols corresponding to structure XX include, but are not limited to alkyl alcohols such as ethanol, n-propanol, isopropanol, n-butanol, t-butanol, n-hexanol, n-octanol; polyalkylene glycols such as ethylene glycol, propylene glycol, glycerin, diethyleneglycol, dipropyleneglycol, polyethylene glycol, polypropylene glycol and hydroxy functional polyesters.

[0058] Non-limiting examples of suitable alcohols corresponding to structure XXI include, but are not limited to ethanol amine, N,N-dimethylaminoethanol, diglycol amine, 3-amino-1-propanol, 2-amino-1-propanol, 4-amino-1-butanol, 2-amino-1-butanol, and higher amino alkylols, polyethyleneoxide

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mono amines such as JEFFAMINE XTJ-506 and JEFFAMINE M-2070 available from Huntsman Performance Chemicals, Austin, TX and polypropyleneoxide mono amines such as JEFFAMINE XTJ-505 and JEFFAMINE XTJ-507 available from Huntsman.

- 5 [0059] Non-limiting examples of suitable alcohols corresponding to structure XXII include, but are not limited to the reaction products of amino alcohols, including those specifically recited above and alkylene carbonates. Suitable alkylene carbonates include, but are not limited to ethylene  
10 carbonate, propylene carbonate, butylene carbonate and those available commercially under the JEFFSOL tradename from Huntsman.

- [0060] Non-limiting examples of suitable alcohols corresponding to structure XXIII include, but are not limited  
15 to amine salts of the amino alcohols specifically recited above with inorganic acids, such as HCl and HBr as well as quaternized amino alcohols. The quaternized amino alcohols may be prepared by reacting any of the amino alcohols recited above with a suitable quaternization agent. Suitable  
20 quaternizing agents include, but are not limited to propylene oxide,  $\text{CH}_3\text{Cl}$ ,  $\text{CH}_3\text{Br}$ ,  $\text{CH}_3\text{I}$ ,  $\text{CH}_3\text{CH}_2\text{Cl}$ ,  $\text{CH}_3\text{CH}_2\text{Br}$ ,  $\text{CH}_3\text{CH}_2\text{I}$  diethyl sulfate and dimethyl sulfate.

- [0061] Non-limiting examples of suitable hydroxy alkyl carbamates corresponding to structure XXIV include, but are  
25 not limited to hydroxy alkyl carbamates such as hydroxy ethyl carbamate, hydroxy propyl carbamate and hydroxy butyl carbamate and oxyalkylene glycol carbamates such as polyethyleneglycol carbamate and polypropylene glycol carbamate.

- 30 [0062] Non-limiting examples of suitable cycloalkyl hydroxy amines corresponding to structure XXV include, but are not limited to 2-hydroxypiperidine, 3-hydroxypiperidine, 4-hydroxypiperidine, 2-pyrrolidinol, 3-pyrrolidinol and 2-methyl-3-pyrrolidinol.

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[0063] A non-limiting examples of suitable cycloalkyl hydroxy amines corresponding to structure XXVI include, but are not limited to 2,2,6,6-tetramethyl-4-hydroxypiperidine.

[0064] Non-limiting examples of suitable amines  
5 corresponding to structure XXX include, but are not limited to 3-amino-1,2-propanediol, diethanolamine, dimethylamine, diethyl amine and amine functional polyamides.

[0065] Non-limiting examples of suitable diamines  
10 corresponding to structure XXXI include, but are not limited to alkyl diamines such as ethylene diamine, propylene diamine, butylene diamine, hexamethylene diamine, 1,4-cyclohexane diamine, triethyleneglycol diamine such as JEFFAMINE EDR-148 from Huntsman, polyoxyethylene diamines such as JEFFAMINE XTJ-502 available from Huntsman and polyoxypropylene diamines such  
15 as JEFFAMINE D-230, JEFFAMINE D-400 and JEFFAMINE D-2000 available from Huntsman. In an embodiment of the present invention, polyamines may be used in place of the diamine. Suitable polyamines include, but are not limited to melamine, triethylenetetramine, hexamethylene tetramine, tris (2-  
20 aminoethyl) amine, and polyoxypropylene triamines such as JEFFAMINE T-403, JEFFAMINE T-3000 and JEFFAMINE T-5000 available from Huntsman.

[0066] In an additional embodiment of the present invention, the hydroxy compound may include amine  
25 functionality, such that after a transesterification reaction, the copolymer contains amine functionality. In a particular embodiment, the amine functionality is a primary amine. When the copolymer includes amine functionality, the amine may be further reacted to provide other functional groups.

30 [0067] As a non-limiting example, when the copolymer includes amine functionality, the amine functionality may be reacted with phosgene to form an isocyanate.

[0068] In a further non-limiting example, the amine  
35 functionality is reacted with an alkylene carbonate to form the corresponding alkylene hydroxy carbamate. In an embodiment

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of this example, the alkylene carbonate may be selected from ethylene carbonate, propylene carbonate and butylene carbonate.

[0069] The present invention is also directed to a method of making the above described composition and copolymer therein. The method includes the steps of:

- (a) providing a monomer composition comprising:
  - (i) at least one monomer having the formula  $CR^1R^2=CR^1R^2$ ; and
  - 10 (ii) at least one monomer having the formula  $CH_2=CR^{10}-C(O)-R^9$ , where  $R^9$  and  $R^{10}$  are as defined above;
- (b) polymerizing the monomer composition to form a copolymer; and
- (c) reacting the copolymer with a reactant selected from hydroxy functional compounds and amine functional compounds to form a non-gelled reacted copolymer, wherein  $R^3$  is a group that is capable of a reaction selected from transesterification, transamidification and hydrolysis with the reactant and the reaction in (c) is one or more of a transesterification, transamidification and hydrolysis reaction between  $R^9$  and the reactant.

[0070] The monomer composition may be polymerized using any suitable polymerization method. Suitable polymerization methods include, but are not limited to free radical polymerization methods such as traditional random free radical polymerization methods and photopolymerization methods or controlled free radical polymerization processes such as group transfer polymerization as disclosed, for example, in U.S. Patent No. 4,681,918 and atom transfer radical polymerization as disclosed, for example, in U.S. Patent Nos. 5,807,937, 5,789,487 and 5,763,548, all of which are herein incorporated by reference, and ionic polymerization methods such as anionic polymerization and cationic polymerization as are well known in the art.



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[0071] When traditional random free radical polymerization methods are used, a free radical initiator is typically used in the polymerization process. Any suitable free radical initiator may be used. Suitable free radical initiators include, but are not limited to thermal initiators, photoinitiators and oxidation-reduction initiators. Examples of thermal initiators include, but are not limited to azo compounds, peroxides and persulfates. Suitable persulfates include, but are not limited to sodium persulfate and ammonium persulfate. Oxidation-reduction initiators may include, as non-limiting examples persulfate-bisulfite systems as well as systems utilizing thermal initiators in combination with appropriate metal ions such as iron or copper.

[0072] Suitable azo compounds include, but are not limited to non-water-soluble azo compounds such as 1-1'-azobiscyclohexanecarbonitrile), 2-2'-azobisisobutyronitrile, 2-2'-azobis(2-methylbutyronitrile), 2-2'-azobis(propionitrile), 2-2'-azobis(2,4-dimethylvaleronitrile), 2-2'-azobis(valeronitrile), 2-(carbamoylazo)-isobutyronitrile and mixtures thereof; and water-soluble azo compounds such as azobis tertiary alkyl compounds include, but are not limited to, 4-4'-azobis(4-cyanovaleric acid), 2-2'-azobis(2-methylpropionamide) dihydrochloride, 2,2'-azobis[2-methyl-N-(2-hydroxyethyl)propionamide], 4,4'-azobis(4-cyanopentanoic acid), 2,2'-azobis(N,N'-dimethyleneisobutyramidine), 2,2'-azobis(2-amidinopropane) dihydrochloride, 2,2'-azobis(N,N'-dimethyleneisobutyramidine) dihydrochloride and mixtures thereof.

[0073] Suitable peroxides include, but are not limited to hydrogen peroxide, methyl ethyl ketone peroxides, benzoyl peroxides, di-t-butyl peroxides, di-t-amyl peroxides, dicumyl peroxides, diacyl peroxides, decanoyl peroxide, lauroyl peroxide, peroxydicarbonates, peroxyesters, dialkyl peroxides, hydroperoxides, peroxyketals and mixtures thereof.

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[0074] After the monomer composition is polymerized, a base copolymer having residues described by structure XIX is formed. The base copolymer is capable of participation in one or more post polymerization reactions such as

5 transesterification, transamidification and/or hydrolysis.

[0075] When traditional random free radical polymerization methods are used, the monomer  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  forms an alternating copolymer such as shown in Structure I.

[0076] As mentioned above, monomers having the formula

10  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  are generally acceptor monomers, and when such monomers are copolymerized with donor monomers, a substantially alternating copolymer results. Through selection of monomers with appropriate reactivity ratios, a perfectly alternating copolymer may be prepared via free radical

15 polymerization. In a perfectly alternating copolymer, all of the monomers having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  will be isolated along the polymer chain as shown in formula I.

[0077] When controlled free radical polymerization processes are used, more precise control of the copolymer

20 composition may be achieved resulting in substantially all of the monomers having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  being isolated along the polymer chain as shown in formula I. As such, the copolymer may contain higher amounts up to 50 mol% of monomers having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$ , where all of such monomers

25 are isolated along the polymer chain.

[0078] The monomer having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  is present in the monomer composition at a level of at least 10 mol%, in some cases at least 15 mol %, in other cases at least 20 mol % and in some situations at least 25 mol % of the

30 monomer composition. Additionally, monomer having the formula  $\text{CH}_2=\text{CH}-\text{C}(\text{O})-\text{R}^{3'}$  may be present at up to 50 mol %, in some cases up to 45 mol %, in other cases up to 40 mol %, in some situations up to 35 mol %, in other situations up to 30 mol % and in particular cases up to 25 mol % of the monomer

35 composition. The monomer having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  may

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be present in the monomer composition at a level ranging between any of those recited above.

[0079] In an embodiment of the present invention, the post polymerization reactions may be carried out using, as non-limiting examples, the hydroxy functional compounds of structures XX-XXIX and/or the amine functional compounds selected from structures XXX and XXXI. In this embodiment, at least a portion of the groups  $R^9$  in structural formula I are converted to groups  $R^{14}$  in structural formula II as defined above, non-limiting examples of which are described by structures II-XIV.

[0080] In the present invention, the monomer having the formula  $CH_2=CR^{10}-C(O)-R^9$  results in a polymer backbone monomer residue of structure XXXII



where  $R^9$  is as defined above. A particular feature of the present copolymer is that residues having structure XXXII in the polymer backbone do not have adjacent or nearest neighbor monomer residues along the polymer backbone also having structure XXXII. Thus, the carbonyl containing moiety of structure XXXII is isolated along the polymer backbone. This isolation of the copolymer moieties that participate in the post polymerization reactions prevents the cyclization that often occurs in copolymers where moieties of structure XXXII are adjacent or nearest neighbors. No loss of functionality in the copolymer or unpredictable and/or undesirable changes in physical properties such as glass transition temperature, solubility or compatibility with other materials due to cyclization occurs.

[0081] As was mentioned above, the unit  $-CH_2-CR^{10}(C(O)-R^9)$  in structure I or the unit  $-CH_2-CR^{10}(C(O)-R^{14})$  in structure II may be obtained by hydrolyzing a nitrile containing moiety

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-CH<sub>2</sub>-CR<sup>10</sup>(CN)-. A common problem in such hydrolysis reactions is the formation of cyclic acid imides when the nitrile containing moieties are nearest neighbors (see for example, U.S. Patent No. 4,683,286 to Krakkay et al., col. 5, lines 1-42, which is herein incorporated by reference). In the present invention, the occurrence of nearest neighbor nitrile containing moieties is minimized as is the occurrence of the undesirable cyclic acid imides.

[0082] While not wishing to be bound to any single theory, it is believed that the steric effects of the nearest neighbor monomer residues (not being like structure XXXII in nature) prevent the cyclization reaction from occurring.

[0083] In a particular embodiment of the present method, the hydroxy functional compounds that are reacted with the copolymer are hydroxy functional compounds that include amine functionality and the post polymerization reaction is carried out such that after the transesterification reaction the copolymer contains amine functionality. In a non-limiting example of this embodiment, the amine functionality is a primary amine.

[0084] In this particular embodiment, the esterification is carried out in the presence of an alkoxide. As used herein and in the claims, the term alkoxide refers to alkali metal salts of alkyl oxides, non-limiting examples of which include sodium methoxide and sodium butoxide.

[0085] In an embodiment of the present invention, the amine functional ester may be rearranged to the corresponding hydroxy functional amide by heating the amine functional ester. Typically, the amine functional ester is heated to a temperature of at least 140°C in order for the rearrangement to take place.

[0086] In a non-limiting example, when the post polymerization reaction results in a copolymer with amine functionality, the resulting amine functional copolymer may be further reacted, for example, with phosgene, such that at

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least a portion of the amine functionality is converted to an isocyanate.

[0087] In a further non-limiting example, the amine functional copolymer may be reacted with an alkylene carbonate to form the corresponding alkylene hydroxy carbamate. Any suitable alkylene carbonate may be used. Suitable alkylene carbonates include, but are not limited to ethylene carbonate, propylene carbonate, butylene carbonate and those available commercially under the JEFFSOL tradename from Huntsman, Austin, TX.

[0088] In an embodiment of the present polymerization method, one or more monomers having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  and one or more monomers having the formula  $\text{CR}^1\text{R}^2=\text{CR}^1\text{R}^2$  are polymerized. In this embodiment the monomers having the formula  $\text{CR}^1\text{R}^2=\text{CR}^1\text{R}^2$  have a reactivity ratio  $r_1$  that is less than 1 and the monomers having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  have a reactivity ratio  $r_2$  of at least 10. When monomers of this type are copolymerized, the polymerization initiator and monomer having the formula  $\text{CH}_2=\text{CR}^{10}-\text{C}(\text{O})-\text{R}^9$  are separately and simultaneously added to the olefin monomer having the formula  $\text{CR}^1\text{R}^2=\text{CR}^1\text{R}^2$  over a period of time. The monomer and initiator may be added to the olefin monomer over a period of at least 15 minutes, in some cases at least 20 minutes, typically at least 30 minutes, and, in some cases, at least 1 hour. The monomer composition and initiator may further be added to the olefin monomer over a period of up to 24 hours, in some cases up to 18 hours, typically up to 12 hours, and, in some cases, up to 8 hours. The time for adding the monomer must be sufficient to maintain a suitable excess of olefin monomer over other monomers to encourage the formation of alternating segments. The addition time is not so long as to render the process economically unfeasible on a commercial scale. The addition time may vary in any range of values inclusive of those stated above.

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- [0089] In another embodiment of the present polymerization method, the monomers having the formula  $CR^1R^2=CR^1R^2$  have a reactivity ratio  $r_1$  of zero and the monomers having the formula  $CH_2=CR^{10}-C(O)-R^9$  have a reactivity ratio  $r_2$  of zero. When
- 5 monomers of this type are copolymerized, the polymerization initiator, the monomer having the formula  $CH_2=CR^{10}-C(O)-R^9$  and the monomer having the formula  $CR^1R^2=CR^1R^2$  are simultaneously added and polymerized together to form an alternating copolymer.
- 10 [0090] After mixing or during addition and mixing, polymerization of the monomers takes place. The present polymerization method can be run at any suitable temperature. Suitable temperature for the present method may be ambient, at least 50°C, in many cases at least 60°C, typically at least
- 15 75°C, and, in some cases, at least 100°C. Suitable temperature for the present method may further be described as being up to 300°C, in many cases up to 275°C, typically up to 250°C, and, in some cases, up to 225°C. The temperature is typically high enough to encourage good reactivity from the monomers and
- 20 initiators employed. However, the volatility of the monomers and corresponding partial pressures create a practical upper limit on temperature determined by the pressure rating of the reaction vessel. The polymerization temperature may vary in any range of values inclusive of those stated above.
- 25 [0091] The present polymerization method can be run at any suitable pressure. A suitable pressure for the present method may be ambient, at least 1 psi, in many cases at least 5 psi, typically at least 15 psi, and, in some cases, at least 20
- 30 psi. Suitable pressures for the present method may further be described as being up to 200 psi, in many cases up to 175 psi, typically up to 150 psi, and, in some cases, up to 125 psi. The pressure is typically high enough to maintain the monomers and initiators in a liquid phase. The pressures employed have a practical upper limit based on the pressure rating of the
- 35 reaction vessel employed. The pressure during polymerization

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temperature may vary in any range of values inclusive of those stated above.

[0092] In an additional embodiment of the present invention, after the polymerization is complete, any unreacted monomers are substantially removed from the resulting copolymer composition by evaporation. Typically, the unreacted monomers are removed by the application of a vacuum.

[0093] In an embodiment of the present invention, the composition includes the non-gelled copolymer described above and co-reactive functional groups. In a particular embodiment of the present invention, the composition is a thermosetting composition.

[0094] In a more particular embodiment, the composition includes the copolymer and at least one other component. In this embodiment, the copolymer contains reactive functional groups and the at least one other component contains functional groups that are reactive with the functional groups of the copolymer. Any suitable reactive functional groups may be included in the present invention.

[0095] Suitable reactive functional groups for the copolymer include, but are not limited to epoxy, carboxylic acid, hydroxy, amide, oxazoline, aceto acetate, methylol, methylol ether, isocyanate, carbamate, amine, amine salt, polysulfide, thiol, and sulfonium salt. The copolymer may further include one or more suitable salt groups. Suitable salt groups include, but are not limited to an amine salt or an onium salt group.

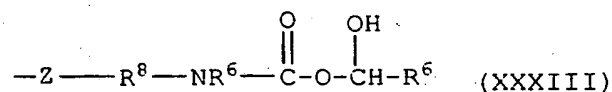
[0096] Suitable reactive functional groups for the at least one other component include, but are not limited to epoxy, oxirane, carboxylic acid, hydroxy, polyol, thiol, isocyanate, capped isocyanate, amine, aminoplast, methylol, methylol ether, and beta-hydroxyalkylamide.

[0097] The suitable reactive functional groups of the copolymer may be present to provide a functional group equivalent weight of the copolymer of from at least 100, in

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some cases at least 200, in other cases at least 500, in some situation at least 750 and in other situations at least 1,000 grams / equivalent. Additionally, the suitable reactive functional groups of the copolymer may be present to provide a functional group equivalent weight of the copolymer of from up to 10,000, in some cases up to 5,000, in other cases up to 4,000, in some situation up to 3,000 and in other situations up to 2,500 grams / equivalent. The equivalent weight of the functional groups of the copolymer may vary between any of the values recited above.

[0098] In an embodiment of the present thermosetting composition, the coreactive functional groups are in the copolymer. Any suitable coreactive functional groups may be included in the present copolymer. Suitable coreactive functional groups include, but are not limited to methylol, methylol ether, polysulfide and a group described by structure XXXIII.



[0099] In structure XXXIII, Z is selected from -O- and -NR<sup>6</sup>-, R<sup>6</sup> is independently selected from hydrogen and C<sub>1</sub> to C<sub>4</sub> alkyl and alkylol, and R<sup>8</sup> is a linking group selected from linear, cyclic or branched C<sub>2</sub> to C<sub>20</sub> alkylene, alkenylene, arylene, alkarylene, aralkylene and oxyalkylene. Additionally, suitable coreactive functional groups for the present copolymer may include the situation when the co-reactive functional groups are selected from the group consisting of epoxy, carboxylic acid, hydroxy, amide, oxazoline, acetoacetate, isocyanate, capped isocyanate, carbamate, thiol, sulfide, and beta-hydroxyalkylamide.

[00100] In order to achieve a suitable level of cure with the thermosetting composition of the present invention, the equivalent ratio of suitable reactive functional groups in the copolymer to suitable reactive functional groups in the at



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least one other component is typically from 0.7:1 to 2:1, e.g., from 0.8:1 to 1.3:1.

[00101] The thermosetting composition of the present invention may also include pigments and fillers. Examples of pigments include, but are not limited to, inorganic pigments, e.g., titanium dioxide and iron oxides; organic pigments, e.g., phthalocyanines, anthraquinones, quinacridones and thioindigos; carbon blacks and metallic pigments. Additional non-limiting examples of suitable pigments can be found in U.S. Pat. Nos. 4,220,679, 4,403,003, 4,147,679 and 5,071,904. Examples of fillers include, but are not limited to, silica, e.g., precipitated silicas, clay, and barium sulfate. When used in the composition of the present invention, pigments and fillers are typically present in amounts of from 0.1 percent to 70 percent by weight, based on the total weight of the thermosetting composition.

[00102] The thermosetting composition of the present invention may optionally contain additives that are well known in the art of formulating surface coatings. Non-limiting examples of such additives include waxes for flow and wetting, flow control agents, e.g., poly(2-ethylhexyl)acrylate, degassing additives such as benzoin, adjuvant resin to modify and optimize coating properties, antioxidants and ultraviolet (UV) light absorbers. Examples of useful antioxidants and UV light absorbers include those available commercially from Ciba-Geigy under the trademarks IRGANOX and TINUVIN, surfactants, thixotropic agents, organic co-solvents, catalysts, and other customary auxiliaries. Non-limiting examples of these optional materials and suitable amounts are described in U.S. Pat. Nos. 4,220,679, 4,403,003, 4,147,679 and 5,071,904. Typically, the optional additives, when used, are present in amounts up to 20 percent by weight, based on the total weight of the thermosetting composition.

[00103] In the thermosetting composition of the present invention, the copolymer is a non-gelled polymer having

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reactive functional groups and may include at least one other component (a crosslinking agent or curing agent as non-limiting examples) that contain reactive functional groups that are reactive with the functional groups in the copolymer.

- 5 The non-gelled copolymer is typically present in the coating composition in amounts of 1 to 99, in some cases 5 to 75, in other cases 10 to 65, in some situations 50 to 85 and in other situations 50 to 70 percent by weight based on the total weight of resin solids. When the at least one other component
- 10 is present, it is typically present in the coating composition in amounts of about 15 to 50, often about 30 to 50 percent by weight based on the total weight of resin solids.

- [00104] The thermosetting composition may include other optional ingredients, such as plasticizers, antioxidants, UV
- 15 light absorbers and stabilizers, may be formulated into the coating composition in a known manner. When used, these ingredients are present (on an individual basis) in amounts up to 10 percent, often from 0.1 to 5 percent by weight based on total weight of resin solids of the composition. As a non-
- 20 limiting example, the other ingredients in the coating composition of the present invention include those of the waterborne film-forming composition disclosed in U.S. Pat. No. 5,098,947, incorporated herein by reference for its teachings of other ingredients at column 7, line 17 through column 8,
- 25 line 45.

- [00105] When the thermosetting composition of the present invention is a particulate mixture or powder coating composition, it is typically prepared by first dry blending the functional copolymer, and any other components including
- 30 those with and without functional groups, and additives, such as flow control agents, degassing agents, antioxidants and UV absorbing agents, in a blender, e.g., a Henshel blade blender. The blender is operated for a period of time sufficient to result in a homogenous dry blend of the materials charged
- 35 thereto. The homogenous dry blend is then melt blended in an extruder, e.g., a twin screw co-rotating extruder, operated

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within a temperature range of 80°C. to 140°C., e.g., from 100°C. to 125°C. The extrudate of the thermosetting composition of the present invention is cooled and, when used as a powder coating composition, is typically milled to an average particle size of from, for example, 15 to 30 microns.

[00106] When the thermosetting composition of the present invention is a liquid mixture, it is typically prepared by mixing the present composition containing the copolymer and other ingredients into a suitable solvent as is known in the art. Non-limiting suitable solvents include one or more volatile materials such as water, organic solvents and/or amines. The solids content of the liquid thermosetting composition will generally ranges from about 15 to about 60 weight percent, typically about 20 to about 50 weight percent. Also, other additional components usually found in liquid thermosetting compositions may be present in amounts usually used by those skilled in the art. The solids content is typically determined by heating a sample of the liquid thermosetting composition to 105°-110°C for 1-2 hours to drive off the volatile material and measuring weight loss.

[00107] The thermosetting compositions described above may be used to coat substrates, hence, the present invention is further directed to a substrate, wherein at least a portion of the substrate is coated with the present thermosetting composition.

[00108] When the present thermosetting compositions are used to coat substrates, they may be in liquid or powder form and are typically applied using the following general method:

(A) applying the thermosetting coating composition described above over at least a portion of the substrate;

(B) coalescing the thermosetting coating composition to form a substantially continuous film on the substrate; and

(C) curing the thermosetting coating composition.

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The present invention is still further directed to a substrate coated by the above-described method.

[00109] The thermosetting coating composition can be applied as a free film. As used herein and in the claims, the term "free film" refers to a film unattached to any substrate, which film may be a single paint layer or a composite of paint layers. The free paint film is typically up to about 20 mils thick. The film may be of uniform thickness, for example to coat an entire article or surface thereof, or may be tapered to otherwise contoured, for example having tapered edges to blend into a pre-existing coating for spot repair. Free films are disclosed for example in U.S. Patent Nos. 6,423,778 to McGee et al. and 5,389,178 to Harvey.

[00110] During application of the thermosetting coating composition to the substrate, the film thickness of the coating formed on the substrate can range from 0.1 to 5 mils (2.54 to 127 micrometers). In another embodiment, the film thickness of the coating formed on the substrate can range 0.1 to 1 mils (2.54 to 25.4 micrometers), and can be 0.4 to 0.6 mils (10.2 to 15.2 micrometers). The coated substrate can be heated to a temperature and for a time sufficient to effect cure of the thermosetting composition applied thereto.

[00111] In an additional embodiment, the coating may be applied to a suitable substrate by extrusion coating, coextrusion coating and/or laminating technology as is known in the art. As a non-limiting example, extrusion or laminating coatings may be applied using the LA-FM or LA-FP Extrusion System Lamination Machine available from FKI Extrusion, Taiwan and the Extrusion, Coating and Laminating lines available from Black Clawson Converting Machinery LLC, Fulton, NY.

[00112] The powder coating thermosetting composition of the present invention may be applied to the substrate by any appropriate means that are known to those of ordinary skill in the art. Generally, the thermosetting composition is in the form of a dry powder and is applied by spray application.

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Alternatively, the powder can be slurried in a liquid medium such as water, and spray applied. Where the language "co-reactable solid, particulate mixture" is used in the specification and claims, the thermosetting composition can be  
5 in dry powder form or in the form of a slurry.

[00113] When the substrate is electrically conductive, the thermosetting composition is typically electrostatically applied. Electrostatic spray application generally involves drawing the thermosetting composition from a fluidized bed and  
10 propelling it through a corona field. The particles of the thermosetting composition become charged as they pass through the corona field and are attracted to and deposited upon the electrically conductive substrate, which is grounded. As the charged particles begin to build up, the substrate becomes  
15 insulated, thus limiting further particle deposition. This insulating phenomenon typically limits the film build of the deposited composition to a maximum of 3 to 6 mils (75 to 150 microns).

[00114] Alternatively, when the substrate is not  
20 electrically conductive, for example as is the case with many plastic substrates, the substrate is typically preheated prior to application of the thermosetting composition. The preheated temperature of the substrate is equal to or greater than that of the melting point of the thermosetting composition, but  
25 less than its cure temperature. With spray application over preheated substrates, film builds of the thermosetting composition in excess of 6 mils (150 microns) can be achieved, e.g., 10 to 20 mils (254 to 508 microns). Substrates that may be coated by the method of the present invention include, for  
30 example, ferrous substrates, aluminum substrates, plastic substrates, e.g., sheet molding compound based plastics, and wood.

[00115] After application to the substrate, the thermosetting composition is then coalesced to form a  
35 substantially continuous film. Coalescing of the applied

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composition is generally achieved through the application of heat at a temperature equal to or greater than that of the melting point of the composition, but less than its cure temperature. In the case of preheated substrates, the application and coalescing steps can be achieved in essentially one step.

[00116] The coalesced thermosetting composition is next cured by the application of heat. As used herein and in the claims, by "cured" is meant a three dimensional crosslink network formed by covalent bond formation, e.g., between the reactive functional groups of a crosslinking agent and the reactive functional groups of the copolymer. The temperature at which the thermosetting composition of the present invention is cured is variable and depends in part on the amount of time during which curing is conducted. Typically, the thermosetting composition is cured at a temperature within the range of 149°C. to 204°C., e.g., from 154°C to 177°C., for a period of 20 to 60 minutes.

[00117] The liquid coating thermosetting composition of the present invention may be applied to various substrates to which it adheres. The compositions can be applied by conventional means including brushing, dipping, flow coating, spraying and the like, but it is most often applied by spraying. The usual spray techniques and equipment for air spraying and electrostatic spraying by either manual or automatic methods can be used.

[00118] After application of the liquid coating thermosetting composition to the substrate, a film is formed on the surface of the substrate by driving solvent, i.e., organic solvent or water, out of the coating film by heating or by an air-drying period. Preferably, heating will only be for a short period of time, sufficient to ensure that any subsequent coating layers can be applied to the liquid thermosetting composition coating without the former dissolving the liquid coating composition. Suitable drying

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conditions will depend on the composition of the liquid thermosetting coating and on the ambient humidity with certain water-based compositions, but, in general, a drying time of from 1 to 5 minutes at a temperature of 20°C to 120°C will be adequate to ensure that mixing of the two coats is minimized.

[00119] The coating thermosetting compositions of the present invention can be applied over virtually any substrate including wood, metals, glass, cloth plastic, foam, including elastomeric substrates and the like. They are particularly useful in applications over metals and elastomeric substrates that are found, as a non-limiting example, on motor vehicles.

[00120] The present thermosetting coating compositions may be part of a multi-component composite coating composition that includes:

15 (a) a base coat deposited from a pigmented film-forming composition; and

(b) a transparent top coat applied over said base coat.

[00121] Either or both of the base coat and the top coat may be a thermosetting coating composition of the present invention as described above. The multi-component composite coating composition as described herein is commonly referred to as a color-plus-clear coating composition.

[00122] When the pigmented film-forming composition from which the base coat is deposited is not the present thermosetting coating composition, it may be any of the compositions useful in coatings applications, particularly automotive applications in which color-plus-clear coating compositions are extensively used. Pigmented film-forming compositions conventionally comprise a resinous binder and a pigment to act as a colorant. Particularly useful resinous binders are acrylic polymers, polyesters including alkyds, and polyurethanes.

[00123] The resinous binders for the pigmented film-forming base coat composition can be organic solvent-based materials

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such as those described in U.S. Pat. No. 4,220,679, note column 2 line 24 through column 4, line 40. Also, water-based coating compositions such as those described in U.S. Pat. Nos. 4,403,003, 4,147,679 and 5,071,904 can be used as the binder  
5 in the pigmented film-forming composition.

[00124] The pigmented film-forming base coat composition can be applied to the substrate by any of the conventional coating techniques such as brushing, spraying, dipping or flowing, but are most often applied by spraying. The usual  
10 spray techniques and equipment for air spraying, airless spray and electrostatic spraying employing either manual or automatic methods can be used. The pigmented film-forming composition is applied in an amount sufficient to provide a base coat having a film thickness typically of 0.1 to 5 mils  
15 (2.5 to 125 microns) and preferably 0.1 to 2 mils (2.5 to 50 microns).

[00125] After forming the basecoat layer on at least a portion of the substrate from the pigmented film-forming base coat composition, and prior to application of the transparent  
20 top coat, the base coat can be cured or alternatively dried. In drying the deposited base coat, organic solvent and/or water, is driven out of the base coat film by heating or the passage of air over its surface. Suitable drying conditions will depend on the particular base coat composition used and  
25 on the ambient humidity in the case of certain water-based compositions. In general, drying of the deposited base coat is performed over a period of from 1 to 15 minutes and at a temperature of 20°C. to 120°C.

[00126] The substantially pigment-free (or clear) topcoat  
30 composition can be applied over the deposited base coat by any of the methods by which powder and liquid coatings are known to be applied, including, but not limited to, compressed air spraying, electrostatic spraying, and either manual or automatic methods. The clear topcoat can be applied to a  
35 cured or to a dried basecoat before the basecoat has been



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cured. In the latter instance, the two coatings can then be heated to cure both coating layers simultaneously. Typical curing conditions can range from 10°C to 246°C for 1 to 30 minutes. The clear topcoat thickness (dry film thickness) can range from 1 to 6 mils (25 to 150 micrometers).

[00127] When the transparent top coat is applied over a deposited base coat that has been dried, the two coatings can be co-cured to form the multi-component composite coating composition of the present invention. Both the base coat and top coat are heated together to conjointly cure the two layers. Typically, curing conditions of 149°C to 204°C for a period of 20 to 30 minutes are employed. The transparent top coat typically has a thickness within the range of 0.5 to 6 mils (13 to 150 microns), e.g., from 1 to 3 mils (25 to 75 microns).

[00128] A second substantially pigment free topcoat coating composition can be applied to the first topcoat to form a "clear-on-clear" topcoat. The first topcoat coating composition can be applied over the basecoat as described above. The second topcoat coating composition which may be the same or different from the first topcoat composition can be applied to a cured or to a dried first topcoat before the basecoat and first topcoat have been cured. The basecoat, the first topcoat and the second topcoat can then be heated to cure the three coatings simultaneously.

[00129] In an embodiment of the present invention, the multi-layer composite coating includes a base coat layer deposited from the present thermosetting composition, where the thermosetting composition is a pigmented film-forming base coat composition; and a substantially pigment free top coat deposited over at least a portion of the base coat layer from a top coat composition.

[00130] In another embodiment of the present invention, the multi-layer composite coating includes a base coat layer deposited from a pigmented film-forming base coat composition;

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and a top coat layer deposited from the present thermosetting composition over at least a portion of the base coat layer, where the present thermosetting composition is a substantially pigment free film-forming top coat composition.

- 5 [00131] In an additional embodiment of the present invention, the multi-layer composite coating includes a base coat layer deposited from the present thermosetting composition, where the present thermosetting composition is a pigmented film-forming base coat composition and a top coat layer deposited from the present thermosetting composition over at least a portion of the base coat layer, where the present thermosetting composition is a substantially pigment free film-forming top coat composition.

- [00132] The present invention is more particularly described in the following examples, which are intended to be illustrative only, since numerous modifications and variations therein will be apparent to those skilled in the art. Unless otherwise specified, all parts and percentages are by weight.

20

EXAMPLE 1

[00133] This example demonstrates the synthesis of an alternating copolymer of isobutylene and methyl acrylate. The copolymer was prepared using the ingredients outlined in the table below.

25

	Ingredients	Parts by weight (grams)
Charge 1	Isobutylene	1262.30
Charge 2	Di-t-amyl Peroxide	82.90
Charge 3	Methyl Acrylate	645.60

- [00134] Charge 1 was added to a 4-liter stainless steel pressure reactor equipped with an agitator. The reactor was pressurized with nitrogen providing a 5 psi nitrogen pad in the reactor. The agitation on the reactor was set at 500 rpm and the reactor temperature was adjusted to 150°C. Charge 2 was added to the reactor at an addition rate of 33.2 g/hr over a 2.5-hour period. 15 minutes later, addition of Charge 3 was

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begun at an addition rate 430.5 g/hr over a 2-hour period. During the monomer addition the temperature was maintained at 150°C at 500 psi. After the addition of charges 2 and 3 were complete, the reaction mixture was held for 2-hours. The reactor was then cooled to 25°C, and vented. Gas Chromatography (GC) analysis of the reaction mixture showed no unreacted acrylates. The reaction mixture was transferred to a 5-liter flask, and was vacuum-stripped at 130°C. The total solids of the recovered polymer was determined to be 94.25 wt.% at 110°C for one hour. The copolymer had a number average molecular weight ( $M_n$ ) of 1,100 and a polydispersity (PDI or  $M_w/M_n$ ) of 2.4 as determined by gel permeation chromatography using polystyrene standards. A  $^{13}\text{C}$  NMR spectrum was consistent with a copolymer composition of 50 mole percent isobutylene and 50 mole percent methyl acrylate. The copolymer had a glass transition temperature ( $T_g$ ) of -20°C.

#### EXAMPLE 2

[00135] This example demonstrates the synthesis of an alternating copolymer of isobutylene and methyl acrylate/butyl acrylate. The copolymer was prepared using the ingredients outlined in the table below.

	Ingredients	Parts by weight (grams)
Charge 1	Isobutylene	561.00
Charge 2	Di-t-amyl Peroxide	110.50
Charge 3	Methyl Acrylate	774.90
	Butyl Acrylate	128.20

[00136] Charge 1 was added to a 4-liter stainless steel pressure reactor equipped with an agitator. The reactor was pressurized with nitrogen providing a 5 psi nitrogen pad in the reactor. The agitation on the reactor was set at 500 rpm and the reactor temperature was adjusted to 150°C. Charge 2 was added to the reactor at an addition rate of 44.5 g/hr over a 2.5-hour period. 15 minutes later, addition of Charge 3 was begun at an addition rate 451.6 g/hr over a 2-hour period.

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During the monomer addition the temperature was maintained at 150°C at 500 psi. After the addition of charges 2 and 3 were complete, the reaction mixture was held for 2-hours. The reactor was then cooled to 25°C, and vented. Gas Chromatography (GC) analysis of the reaction mixture showed no unreacted acrylates. The reaction mixture was transferred to a 5-liter flask, and was vacuum-stripped at 130°C. The total solids of the recovered polymer was determined to be 94.78 wt.% at 110°C for one hour. The copolymer had a  $M_n$  of 1,500 and a PDI of 2.6 as determined by gel permeation chromatography using polystyrene standards. A  $^{13}\text{C}$  NMR spectrum was consistent with a copolymer composition of 50 mole percent isobutylene, 10 mole percent butyl acrylate and 40 mole percent methyl acrylate.

15

EXAMPLE 3

[00137] This example demonstrates a transesterification reaction of the alternating copolymer isobutylene-alt-methyl acrylate of example 1 with 1,2- propanediol and benzyl alcohol.

20

[00138] The following were added to a one-liter, four-necked reaction vessel equipped with a thermometer, stirrer, nitrogen inlet, and means for removing the methanol reaction by-product:

25            142g of the copolymer of example 1; and  
             30.4g of 1,2-propanediol.

[00139] The reaction mixture was heated to 100°C and then 2g of sodium methoxide (30 wt.% solution in methanol) was added. The reaction mixture was held at 100°C for 7 hours, during which time 16 grams of methanol was produced during the transesterification step and was removed and collected in a receiving flask. The resulting product was analyzed by GC, which indicated that the reaction was complete. Subsequently, 43.2g of benzyl alcohol was added and the reaction mixture was  
35    held at 100°C for 3 hours, during which time 14 grams of

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methanol was collected. The catalyst was removed by filtration through a magnesol cake. The resin had a  $M_n$  of 2,100 and PDI of 2.7 as determined by gel permeation chromatography using polystyrene standards. A  $^{13}\text{C}$  NMR spectrum was consistent with a copolymer composition of 50 mole percent isobutylene, 10 mole percent methyl acrylate, 20 mole percent hydroxypropyl acrylate, and 20 mole percent benzyl acrylate. The combination of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the reaction of the copolymer with 1,2-propanediol. The  $T_g$  of the copolymer was  $4^\circ\text{C}$ .

#### EXAMPLE 4

[00140] This example demonstrates the preparation of a coating composition using the copolymer of example 3. The coating composition was prepared by combining 70g of the transesterified copolymer of example 3 with 30g of melamine, Cymel 303 available from Cytec Industries, Inc., West Patterson, NJ, and 1g of dodecylbenzene sulfonic acid as catalyst. The mixture was drawn down 3 mil thick over a steel panel primed with an electrocoat primer. The drawn down coating layer was baked for 30 minutes at  $120^\circ\text{C}$ . The resulting cured film was hard and passed a solvent resistance test consisting of 100 double rubs with acetone.

#### EXAMPLE 5

[00141] This example demonstrates a transesterification reaction of the alternating copolymer isobutylene-alt-methyl acrylate of example 1 with 1,4-cyclohexanedimethanol.

[00142] The following were added to a one-liter, four-necked reaction vessel equipped with a thermometer, stirrer, nitrogen inlet, and means for removing the methanol reaction by-product:

142g of the copolymer of example 1; and  
43.2g of 1,4-cyclohexanedimethanol.

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- [00143] The reaction mixture was heated to 90°C and 4g of sodium methoxide (30 wt.% solution in methanol) was added. The reaction mixture was held at 90°C for 4 hours during which time 8 grams of methanol was collected in a receiving flask.
- 5 The sample was analyzed by GC and indicated that the reaction was complete. The catalyst was removed by filtration through a magnesol cake. The resulting product had a  $M_n$  of 2,620 and PDI of 4 as determined by gel permeation chromatography using polystyrene standards. A  $^{13}\text{C}$  NMR spectrum was consistent with a
- 10 copolymer composition of 50 mole percent isobutylene, 20 mole percent methyl acrylate and 30 mole percent 1,4-cyclohexanedimethanol monoacrylate. The combination of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of
- 15 branching or cyclization as a result of the reaction of the copolymer with 1,4-cyclohexanedimethanol.

#### EXAMPLE 6

- [00144] This example demonstrates the preparation of a coating composition using the copolymer of example 5.
- 20 [00145] A coating composition was prepared by combining 70g of the transesterified copolymer of example 5 with 30g of melamine Cymel 303 and 1g of dodecylbenzene sulfonic acid as catalyst. The mixture was drawn down to 3 mil thickness over a steel panel primed with an electrocoat primer. The drawn down
- 25 coating layer was baked for 30 minutes at 120°C. The resulting cured film was hard and passed a solvent resistance test of 100 double rubs with acetone.

#### EXAMPLE 7

- 30 [00146] This example demonstrates a transesterification reaction of the alternating copolymer isobutylene-alt-methyl acrylate of example 1 with 1,4-butanediol.
- [00147] The following were added to a one-liter, four-necked reaction vessel equipped with a thermometer, stirrer,

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nitrogen inlet, and means for removing the methanol reaction by-product:

142g of the copolymer of example 1; and  
27 grams of 1,4-butanediol.

- 5 [00148] The reaction mixture was heated at 90°C and 3g of sodium methoxide (30 wt.% solution in methanol) was added. The reaction mixture was held at 90°C for 4 hours during which time 9g of methanol was collected in a receiving flask. The sample was analyzed by GC and indicated that the reaction was  
10 complete. The resulting product had a  $M_n$  of 2,060 and a PDI of 3.7 determined by gel permeation chromatography using polystyrene standards. The  $^{13}\text{C}$ NMR spectrum was consistent with a copolymer composition of 50 mole percent isobutylene, 20 mole percent methyl acrylate, and 30 mole percent 4-  
15 hydroxybutyl acrylate. The combination of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the reaction of the copolymer with 1,4-butanediol.

20

#### EXAMPLE 8

[00149] This example demonstrates the preparation of a coating composition using the copolymer of example 7.

- [00150] A coating composition was prepared by combining 70g of the transesterified copolymer of example 7 with 30g of  
25 melamine Cymel 202 and 1g of dodecylbenzene sulfonic acid as catalyst. The mixture was drawn down 3 mil thick over a steel panel primed with an electrocoat primer. The drawn down coating layer was baked for 30 minutes at 140°C. The resulting cured film was hard and passed a solvent resistance test of  
30 100 double rubs with acetone.

#### EXAMPLE 9

- [00151] This example demonstrates a transesterification reaction of the alternating copolymer isobutylene-alt-methyl  
35 acrylate/butyl acrylate of example 2 with 1,4- butanediol.

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[00152] The following were added to a one-liter, four-necked reaction vessel equipped with a thermometer, stirrer, nitrogen inlet, and means for removing the methanol reaction by-product:

- 5                   145g of the copolymer of example 2; and  
                  27 grams of 1,4- butanediol.

[00153] The reaction mixture was heated at 90°C and 3g of sodium methoxide (30 wt.% solution in methanol) was added. The reaction mixture was held at 90°C for 4 hours during which  
10 time 9g of methanol was collected in a receiving flask. The sample was analyzed by GC and indicated that the reaction was complete. The catalyst was removed by filtration through a magnesol cake. The resulting product had a  $M_n$  of 2,500 and a PDI of 2.9 determined by gel permeation chromatography using  
15 polystyrene standards. The  $^{13}\text{C}$  NMR spectrum was consistent with a copolymer composition of 50 mole percent isobutylene, 10 mole percent methyl acrylate, 10 mole percent butyl acrylate and 30 mole percent 4-hydroxybutyl acrylate. The combination  
20 of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the reaction of the copolymer with 1,4-butanediol.

#### EXAMPLE 10

[00154] This example demonstrates the preparation of a  
25 coating composition using the copolymer of example 9.

[00155] A coating composition was prepared by combining 70g of the transesterified copolymer of example 9 with 30g of melamine Cymel 202 and 1g of dodecylbenzene sulfonic acid as catalyst. The mixture was drawn down 3 mil thick over a steel  
30 panel primed with an electrocoat primer. The drawn down coating layer was baked for 30 minutes at 140°C. The resulting cured film was hard and passed solvent resistance tests of 100 double rubs with acetone.



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EXAMPLE 11

[00156] This example demonstrates a transesterification reaction of the alternating copolymer isobutylene-alt-methyl acrylate/butyl acrylate of example 2 with Hydroxyethyl carbamate.

[00157] The following were added to a one-liter, four-necked reaction vessel equipped with a thermometer, stirrer, nitrogen inlet, and means for removing the methanol reaction by-product:

10           142g of the copolymer of example 1; and  
            73.5 grams of hydroxyethyl carbamate (available from Huntsman Corporation, Salt Lake City, Utah).

[00158] The reaction mixture was heated at 90°C and 10g of sodium methoxide (30 wt.% solution in methanol) was added.  
15 The reaction mixture was held at 90°C for 3 hours during which time 24g of methanol was collected in a receiving flask. The sample was analyzed by GC to confirm that the reaction was complete. The catalyst was removed by filtration through a magnesol cake. The resulting product had a  $M_n$  of 1,800 and PDI  
20 of 2.1 determined by gel permeation chromatography using polystyrene standards. The  $^{13}\text{C}$  NMR spectrum was consistent with a copolymer composition of 50 mole percent isobutylene, 15 mole percent methyl acrylate, and 35 mole percent carbamatethyl acrylate. The combination of  $^{13}\text{C}$  NMR and GPC data  
25 did not indicate any substantial sign of branching or cyclization as a result of the reaction of the copolymer with hydroxyethyl carbamate.

EXAMPLE 12

30 [00159] This example demonstrates the preparation of a coating composition using the copolymer of example 11.

[00160] A coating composition was prepared by combining 70g of the transesterified copolymer of example 11 with 30g of melamine Cymel 303 and 1g of dodecylbenzene sulfonic acid as  
35 catalyst. The mixture was drawn down 3 mil thick over steel

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panel primed with an electrocoat primer. The drawn down coating layer was baked for 30 minutes at 140°C. The resulting cured film was hard and passed a solvent resistance tests of 100 double rubs with acetone.

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EXAMPLE 13

[00161] This example demonstrates a transesterification reaction of the alternating copolymer isobutylene-alt-methyl acrylate/butyl acrylate of example 2 with 2-(2-aminoethoxy)ethanol.

10

[00162] The following were added to a one-liter, four-necked reaction vessel equipped with a thermometer, stirrer, nitrogen inlet, and means for removing the methanol reaction by-product:

15

145g of the copolymer of example 1; and  
31.6g of 2-(2-aminoethoxy)ethanol.

[00163] The reaction mixture was heated to 90°C and 10g of sodium methoxide (30 wt.% solution in methanol) was added. The reaction mixture was held at 90°C for 3 hours during which time 10g of methanol was collected in a receiving flask. The sample was analyzed by GC to confirm that the reaction was complete. The catalyst was removed by filtration through a magnesol cake. The resulting product had a  $M_n$  of 2,500 and PDI of 1.9 determined by gel permeation chromatography using polystyrene standards. The combination of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the reaction of the copolymer with 2-(2-aminoethoxy)ethanol. The resulting product had a 1.2 meq amine content.

30

EXAMPLE 14

[00164] This example demonstrates the preparation of a coating composition using the copolymer of example 13.

[00165] A coating composition was prepared by combining 90g of the transesterified polyamine copolymer of example 13 with

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20g of epoxy EPON Resin 828 (polyglycidyl ether of Bisphenol A, available from Shell Chemical Co., Houston, TX) and 40g of Dowanol PM (Dow Chemical Company, Midland, Michigan) as solvent. The mixture was drawn down 3 mil thick over a steel panel primed with an electrocoat primer. The drawn down coating layer was baked for 30 minutes at 120°C. The resulting cured film was hard and passed a solvent resistance test of 100 double rubs with acetone.

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EXAMPLE 15

[00166] This example demonstrates a transamidation reaction of the alternating copolymer isobutylene-alt-methyl acrylate of example 1 with ethanolamine.

[00167] The following were added to a one-liter, four-necked reaction vessel equipped with a thermometer, stirrer, nitrogen inlet, and means for removing the methanol reaction by-product:

142g of the copolymer of example 1; and  
18.3g of ethanolamine.

20 [00168] The reaction mixture was heated to 90°C and 2g of sodium methoxide (30 wt.% solution in methanol) was added. The reaction mixture was heated to 180°C and held at that temperature for 4 hours during which time 10 grams of methanol, produced during the transamidation step, were removed and collected in a receiving flask. The resulting product was analyzed by GC, which indicated that the reaction was complete. The catalyst was removed by filtration through a magnesol cake. The resulting resin had a  $M_n$  of 2,070 and PDI of 4.2 determined by gel permeation chromatography using polystyrene standards. The hydroxy value of the resin was 87. The combination of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the reaction of the copolymer with ethanolamine.

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EXAMPLE 16

[00169] This example demonstrates the preparation of a coating composition using the copolymer of example 15. A coating composition was prepared by combining 70g of the resin of example 15 with 30g of melamine Cymel 202 and 1g of dodecylbenzene sulfonic acid as catalyst. The mixture was drawn down 3 mil thick over a steel panel primed with an electrocoat primer. The drawn down coating layer was baked for 30 minutes at 140°C. The resulting cured film was hard and passed a solvent resistance test of 100 double rubs with acetone.

EXAMPLE 17

[00170] This example demonstrates a transesterification reaction of the alternating copolymer isobutylene-alt-methyl acrylate of example 1 with 2-(2-aminoethoxy)ethanol.

[00171] The following were added to a three-liter, four-necked reaction vessel equipped with a thermometer, stirrer, nitrogen inlet, and means for removing the methanol reaction by-product:

846g of the copolymer of example 1; and  
577g of 2-(2-aminoethoxy)ethanol.

[00172] The reaction mixture was heated to 90°C and 34g of sodium methoxide (30 wt.% solution in methanol) was added. The reaction mixture was held at 90°C for 14 hours during which time 180g of methanol was collected in a receiving flask. The sample was analyzed by GC to confirm that the reaction was complete. The catalyst was removed by filtration through a magnesol cake. The resulting product had a  $M_n$  of 2,500 and PDI of 1.9 determined by gel permeation chromatography using polystyrene standards. The combination of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the reaction of the copolymer with 2-(2-aminoethoxy)ethanol. The resulting product had a 3.3 meq amine content.

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EXAMPLE 18

[00173] This example demonstrates the synthesis of a hydroxy carbamate-functional crosslinking resin.

- 5 [00174] A 3-liter reactor equipped with a condenser, an addition funnel, a stirrer, and a thermocouple was charged with 1,040 grams of a 72 wt.% solution of polyamine resin of example 17 in Dowanol PM. The addition funnel was charged with 491 grams of 4-ethyl-1,3-dioxolan-2-one. The 4-ethyl-1,3-
- 10 dioxolan-2-one was added over a 1 hour period with an exotherm peak of about 70°C. The amine content in the resulting resin was titrated as 0.4 meq.

EXAMPLE 19

- 15 [00175] This example demonstrates the synthesis of an active hydrogen-containing cationic resin prepared from the following ingredients:

Ingredients	Parts by weight
EPON 828	614.68
Bisphenol A-ethylene oxide (1:6 molar ratio)	250.00
Bisphenol A	265.42
Dowanol PM	200.00
Ethyltriphenyl phosphonium iodide	0.60
Diethanolamine	58.89
Diketimine <sup>1</sup>	90.01
Deionized Water	8.64

- 20 <sup>1</sup> Diketimine derived from diethylene triamine and methyl isobutyl ketone (MIBK) (73% solids in MIBK).

- [00176] A reaction vessel was charged with the EPON 828, Bisphenol A-ethylene oxide adduct, Bisphenol A and Dowanol PM. This mixture was heated under nitrogen blanket to 125°C. Ethyl
- 25 triphenylphosphonium iodide was then added and the reaction mixture allowed to exotherm to a temperature of about 145°C. The reaction was held at 145°C for two hours and the epoxy equivalent weight was determined. At this point, the diketimine, and diethanolamine were added in succession and

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the reaction mixture maintained at 122°C for one hour. Water was added slowly at 90°C and stirred at this temperature for one hour.

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EXAMPLE 20

[00177] This example demonstrates the preparation of a coating composition using the hydroxy carbamate-functional crosslinking resin of example 18 and the active hydrogen-containing cationic resin of example 19.

- 10 [00178] A coating composition was prepared by combining 15.4g of the carbamate crosslinking resin of example 18 with 16.5g of the active hydrogen-containing cationic resin of example 19, 5g of 2-butoxyethanol as a solvent, and 0.14g of dibutyltindioleate as a catalyst. The mixture was drawn down  
15 at 4 mils thick over a primed zinc phosphated steel panel. The drawn down coating layer was baked for 60 minutes at 120°C. The resulting cured film was hard and passed solvent resistance tests of 100 double rubs with acetone.

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EXAMPLE 21

[00179] This is an example of the synthesis of an alternating copolymer of diisobutylene and 4-hydroxybutyl acrylate/butyl acrylate. The copolymer was prepared using the ingredients outlined in the table below.

25

	Ingredients	Parts by weight (grams)
Charge 1	Diisobutylene	4480.00
	Dowanol PM	200.00
Charge 2	t-Amylperoxy(2-ethyl hexanoate) - Luperox 575	144.00
Charge 3	4-Hydroxybutyl Acrylate	432.00
	Butyl Acrylate	384.00

- [00180] Charge 1 was added to a reaction flask equipped with an agitator, a thermocouple, and a N<sub>2</sub> inlet, placed under blanket of N<sub>2</sub>, and heated to 103°C. Charge 2 was added to the  
30 reaction flask over a 3.5-hour period. After 15 minutes,

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addition of charge 3 was begun over a period of 3 hours. During the monomer addition the temperature was maintained at 103°C. After the addition of charges 2 and 3 were complete, the reaction mixture was held for 2 hours. The reaction flask was then cooled to 25°C. GC analysis of the reaction mixture indicated that all acrylates were reacted. The reaction flask was then equipped for simple vacuum distillation, the reaction mixture was heated to 80°C to remove the unreacted diisobutylene, and the solvent. The solids of the resulting polymer was determined to be 94.22 wt.%, determined at 110°C for one hour. The copolymer had a  $M_n$  of 1,710 and a PDI of 1.9 determined by gel permeation chromatography using polystyrene as a standard. The  $^{13}\text{C}$  NMR spectrum was consistent with a copolymer composition of 42.6 mole percent diisobutylene, 28.7 mole percent 4-hydroxybutyl acrylate and 28.7 mole percent butyl acrylate.

EXAMPLE 22

[00181] This example demonstrates the synthesis of a transcarbamoylated diisobutylene-alt-4-hydroxybutyl acrylate/butyl acrylate resin prepared from the following ingredients.

	Ingredients	Parts by weight (grams)
Charge 1	Resin of Example 21	532.0
	Methyl carbamate	75.0
	DOWANOL PM <sup>1</sup>	135.0
	Butyl stannous acid	1.15
	Triphenylphosphite	1.15
Charge 2	2-methyl-1-propanol	182.0

<sup>1</sup> 1-methoxy-2-propanol, available from Dow Chemical.

25

[00182] Charge 1 was added to a reaction flask equipped with a thermocouple, an overhead stirrer, a N<sub>2</sub> inlet, a short fractionating column packed with ceramic saddles, a distillation head equipped with a thermocouple, a condenser, and a distillate receiver. The reaction mixture was heated to between 143° and 154°C, during which time 69g of distillate

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was collected in the receiver. During the distillation, the distillation head temperature was maintained below 70°C. When no further distillate could be collected at 154°C, the reaction mixture was cooled to 140°C and the flask was

5 equipped for vacuum distillation. At 140°C, the pressure of the flask was gradually reduced with removal of distillate. When a pressure of 60 mm Hg was attained, the temperature of the reaction mixture was raised to 150°C and held until no more distillate was recovered.

10 [00183] At this point the vacuum was broken, the reaction mixture was sampled, and charge 2 was added to the reaction flask. Prior to the addition of Charge 2, the reaction product was found to have a hydroxyl value of 21.7. The resulting polymer solution had a measured solids of 72.3% (110°C, 1hr),

15 a Gardner-Holt bubble tube viscosity of U, and a  $M_n$  of 1385 and a PDI of 2.2 as determined by gel permeation chromatography using polystyrene standards. The combination of  $^{13}\text{C}$  NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the post-polymerization reaction of

20 the copolymer.

#### EXAMPLE 23

[00184] This example demonstrates the synthesis of an aminoplast based on a carbamoylated diisobutylene resin. The

25 resin was prepared from the following ingredients.

	Ingredients	Parts by weight (grams)
Charge 1	Resin of Example 22	327.9
	2-methyl-1-propanol	133.2
	53% n-BuOH / 40% formaldehyde solution	67.5
	Phosphorous acid	2.52

[00185] Charge 1 was added to a reaction flask equipped with a thermocouple, an overhead stirrer, a  $\text{N}_2$  inlet, a

30 condenser, and a Dean-Stark trap primed with 2-methyl-1-propanol. The reaction mixture was heated to reflux (102°C),



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at which time H<sub>2</sub>O began to collect in the Dean-Stark trap. When 4g of H<sub>2</sub>O had been collected, an additional 2.52g of phosphorous acid was added to the reaction mixture. The temperature of the reaction mixture was gradually increased to 113°C, at which time no additional H<sub>2</sub>O was collected. The total amount of H<sub>2</sub>O collected was 14g. The resulting polymer solution had a measured solids of 53.1% (110°C, 1hr), a Gardner-Holt bubble tube viscosity of B, an acid value of 12, an M<sub>n</sub> of 1,712 and a PDI of 3.08 as determined by gel permeation chromatography using polystyrene standards. The combination of <sup>13</sup>C NMR and GPC data did not indicate any substantial sign of branching or cyclization as a result of the post-polymerization reaction of the copolymer.

#### EXAMPLE 24

[00186] This example demonstrates the preparation of coating compositions using the diisobutylene carbamate aminoplast resin of example 23. The coating compositions was prepared using the following ingredients.

Composition	Component	Parts by wt. (grams)
Coating Composition A	Resin of Example 21	20.2
	Dodecylbenzenesulfonic acid (70% solution in isopropanol)	0.21
Coating Composition B	Resin of Example 23	20.1
	Dodecylbenzenesulfonic acid (70% solution in isopropanol)	0.16

[00187] The two coating compositions were drawn down on steel panels coated with an electrodeposition primer, allowed to flash for 10 minutes, and baked in an oven for 30 minutes at 140°C. The cure response was evaluated via methyl ethyl ketone (MEK) double rubs. The results are summarized in the following table:

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<u>Composition</u>	<u>MEK resistance</u> (double rubs)	<u>Comments</u>
Coating Composition A	< 5	Very tacky to touch
Coating Composition B	100	Not tacky; scrapable with fingernail at site of MEK rubs immediately after test

[00188]        The data demonstrate that the aminoplast modified carbamate resin exhibits superior crosslinking compared to the unmodified control. The present invention has been described with reference to specific details of particular embodiments thereof. It is not intended that such details be regarded as limitations upon the scope of the invention except insofar as and to the extent that they are included in the accompanying claims.

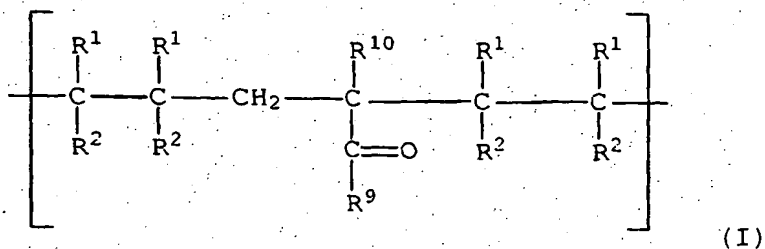
[00189]        The present invention has been described with reference to specific details of particular embodiments thereof. It is not intended that such details be regarded as limitations upon the scope of the invention except insofar as and to the extent that they are included in the accompanying claims.

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We claim:

1. A composition comprising a non-gelled copolymer comprising one or more residues of a first polymerizable ethylenically unsaturated monomer that contains a pendant amide, ester or carboxylic acid group, wherein the nearest neighboring monomer residues of the majority of the first monomer residues along the copolymer molecule do not contain pendant carbonyl functional groups, the amide, ester or carboxylic acid group of the residues of the first monomer being the post polymerization transesterification, transamidification or hydrolysis reaction product of a C<sub>1</sub>-C<sub>4</sub> alkyl ester group in the unreacted first monomer with a compound selected from amine functional compounds and hydroxy functional compounds, the reacted copolymer being substantially free of cyclical structures resulting from the transesterification, transamidification or hydrolysis reaction.

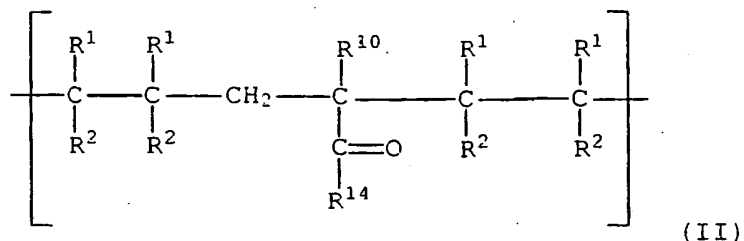
2. The composition of claim 1, wherein the copolymer, prior to the post polymerization reaction, includes residues having the following structural units (I):



wherein each occurrence of R<sup>1</sup> and R<sup>2</sup> are independently groups that do not include carbonyl functional groups, R<sup>9</sup> is a group -O-R<sup>33</sup> where R<sup>33</sup> is C<sub>1</sub> to C<sub>4</sub> alkyl and R<sup>10</sup> is selected from H and C<sub>1</sub> to C<sub>4</sub> alkyl.

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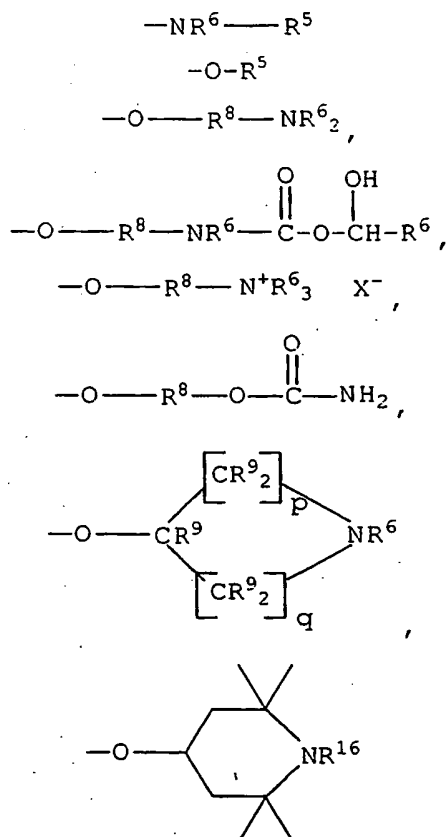
3. The composition of claim 2, wherein the copolymer, after post polymerization reaction, includes residues having the following structural units (II):



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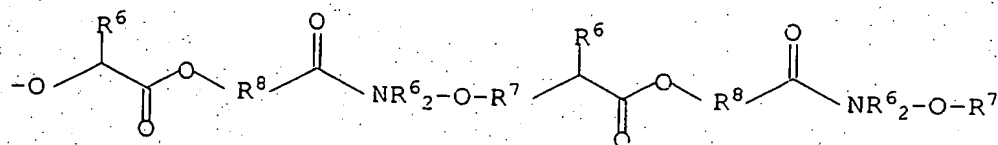
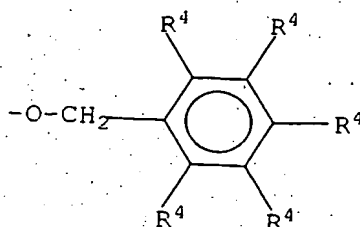
wherein  $\text{R}^1$  and  $\text{R}^2$  are independently groups that do not include carbonyl functional groups,  $\text{R}^{10}$  is selected from H and  $\text{C}_1$  to  $\text{C}_4$  alkyl and each occurrence of  $\text{R}^{14}$  in the copolymer is  
 10 independently one or more selected from the group consisting of

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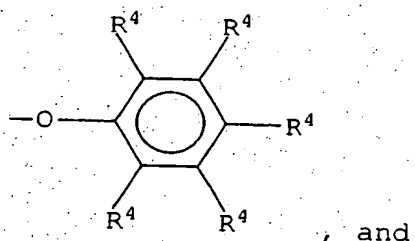
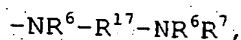


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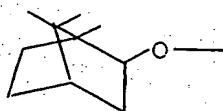
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, and



- wherein each occurrence of  $R^4$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl,  $R^5$  is a radical selected from the group consisting of H, linear, cyclic or branched  $C_1$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol, alkyl isocyanate, aralkyl isocyanate, blocked alkyl isocyanate, blocked alkaryl isocyanate and radicals derived from polyesters, polyamides, polyethylene glycol or polypropylene glycol, each occurrence of  $R^6$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl and alkylol,  $R^7$  is selected from the group consisting of H, methyl, linear, cyclic or branched  $C_2$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol and aralkyl thiol,  $R^8$  is a linking group selected from the group consisting of linear, cyclic or branched  $C_2$  to  $C_{20}$  alkylene, alkenylene, arylene, alkarylene, aralkylene and oxyalkylene,

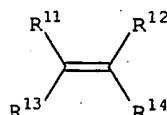
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R<sup>16</sup> is selected from hydrogen, C<sub>1</sub> to C<sub>4</sub> alkyl, -OH, -OR<sup>7</sup> and -C(O)-R<sup>7</sup>, R<sup>17</sup> is a radical derived from polyethylene glycol, polypropylene glycol and mixtures thereof, p and q are each independently from 0 to 6 and the sum of p+q is at least 2 and not more than 8, each occurrence of R<sup>9</sup> is independently selected from hydrogen and C<sub>1</sub> to C<sub>4</sub> alkyl, and X is an anion derived from one or more organic or inorganic acids.

4. The composition of claim 3, wherein the structural units (II) of the copolymer comprise at least 30 mol % of the copolymer.

5. The copolymer composition of claim 3, wherein after the post polymerization reaction, the copolymer comprises at least 10 mol % of residues of structural units (I).

6. The copolymer composition of claim 3, wherein the copolymer further comprises one or more residues derived from other ethylenically unsaturated monomers of the general formula:



wherein R<sup>11</sup>, R<sup>12</sup>, R<sup>13</sup> and R<sup>14</sup> are independently selected from the group consisting of H, halides, CF<sub>3</sub>, straight or branched alkyl of 1 to 20 carbon atoms, aryl of 6 to 12 carbon atoms, unsaturated straight or branched alkenyl or alkynyl of 2 to 10 carbon atoms, unsaturated straight or branched alkenyl of 2 to 6 carbon atoms substituted with a halogen, C<sub>3</sub>-C<sub>8</sub> cycloalkyl, heterocyclyl and phenyl.

7. The composition of claim 6, wherein the other ethylenically unsaturated monomers are one or more allylic monomers.

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8. The composition of claim 3, wherein the blocking group of the blocked isocyanate of  $R^5$  is one or more selected from the group consisting of hydroxy functional compounds, 1H-azoles, lactams and ketoximes.

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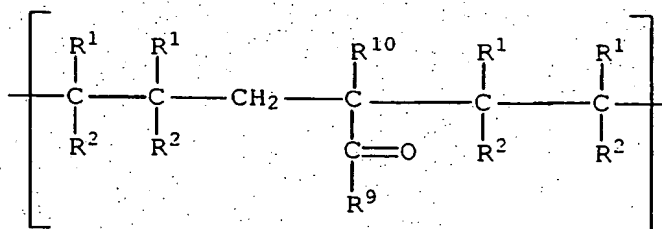
9. The composition of claim 3, wherein  $R^1$  and  $R^2$  are independently selected from H and linear, branched and cyclic  $C_1$ - $C_{24}$  alkyl, alkenyl, aryl, alkaryl and aralkyl.

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10. The composition of claim 3, wherein  $R^{10}$  is H or  $-CH_3$ .

11. The composition of claim 1, wherein the copolymer has a number average molecular weight of from 500 to 15 16,000 and a polydispersity index of less than 4.

12. A composition comprising the reaction product of a reactant selected from hydroxy functional compounds and amine functional compounds with a copolymer comprising 20 residues of the structure

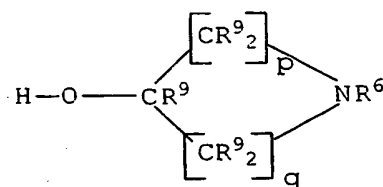
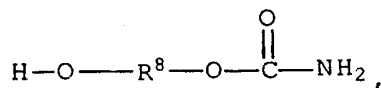
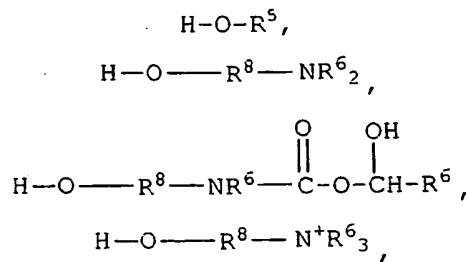


wherein each occurrence of  $R^1$  and  $R^2$  are independently groups that do not include carbonyl functional groups,  $-C(O)-R^9$  is a 25 group that is capable of a reaction selected from transesterification, transamidification and hydrolysis with hydroxy functional compounds or amine functional compounds and  $R^{10}$  is selected from H and  $C_1$  to  $C_4$  alkyl.

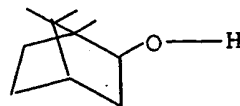
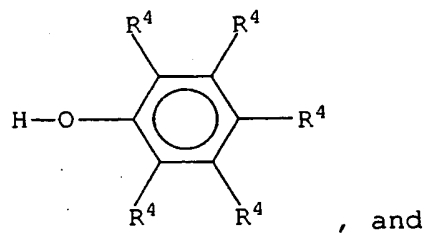
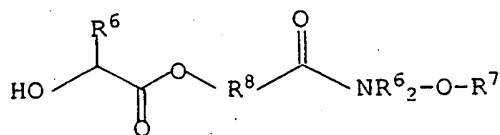
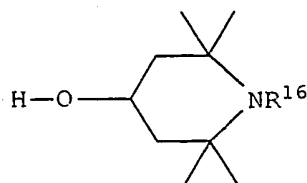
- 63 -

13. The composition of claim 12, wherein the hydroxy functional compounds are selected from the group consisting of

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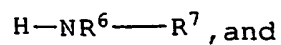


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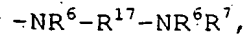
and the amine functional compounds are selected from the group consisting of

15





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wherein each occurrence of  $R^4$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl,  $R^5$  is a radical selected from the group consisting of linear, cyclic or branched  $C_2$  to  $C_{20}$  alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol, alkyl isocyanate, aralkyl isocyanate, blocked alkyl isocyanate, blocked alkaryl isocyanate and radicals derived from polyesters, polyethylene glycol and polypropylene glycol, each occurrence of  $R^6$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl and alkylol,  $R^7$  is selected from the group consisting of H, methyl, linear, cyclic or branched  $C_2$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol and a polyamide radical,  $R^8$  is a linking group selected from the group consisting of linear, cyclic or branched  $C_2$  to  $C_{20}$  alkylene, alkenylene, arylene, alkarylene, aralkylene and oxyalkylene,  $R^{16}$  is selected from hydrogen,  $C_1$  to  $C_4$  alkyl,  $-OH$ ,  $-OR^7$  and  $-C(O)-R^7$ ,  $R^{17}$  is a radical derived from polyethylene glycol, polypropylene glycol and mixtures thereof,  $p$  and  $q$  are each independently from 0 to 6 and the sum of  $p+q$  is at least 2 and not more than 8, each occurrence of  $R^9$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl, and  $X$  is an anion derived from one or more organic or inorganic acids.

14. The composition of claim 12, wherein  $R^{3'}$  is  $C_1$  to  $C_4$  alkyl.

15. The composition of claim 12, wherein  $R^1$  and  $R^2$  are independently selected from H and linear, branched and cyclic  $C_1$ - $C_{24}$  alkyl, alkenyl, aryl, alkaryl and aralkyl.

16. The composition of claim 12, wherein  $R^{10}$  is H or  $-CH_3$ .

17. The composition of claim 12, wherein the hydroxy functional compounds include amine functionality such

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that after the transesterification reaction the copolymer composition contains amine functionality.

18. The composition of claim 17, wherein the amine  
5 functionality is primary.

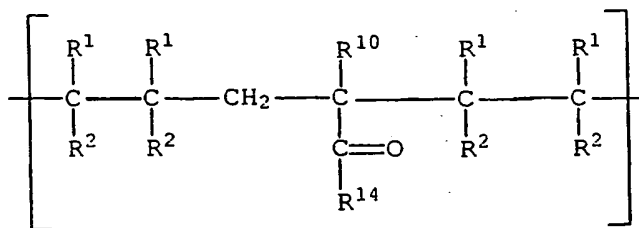
19. The composition of claim 17, wherein the amine  
functionality is reacted with phosgene to form an isocyanate.

10 20. The composition of claim 17, wherein the amine  
functionality is reacted with an alkylene carbonate to form  
the corresponding alkylene hydroxy carbamate.

21. The composition of claim 20, wherein the  
15 alkylene carbonate is selected from the group consisting of  
ethylene carbonate, propylene carbonate and butylene  
carbonate.

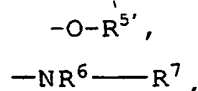
22. The composition of claim 12, wherein the  
20 copolymer has a number average molecular weight of from 500 to  
16,000 and a polydispersity index of less than 4.

23. The composition of claim 12, wherein the  
reaction product of the reactant and the copolymer comprises  
25 residues having the following structural units:

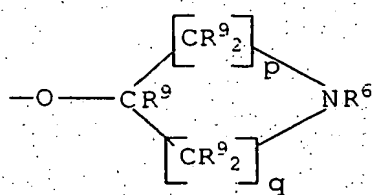
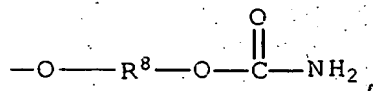
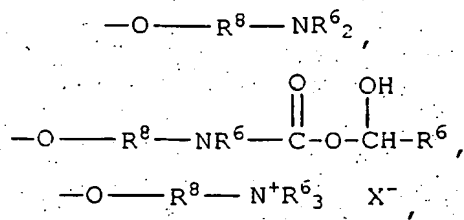


wherein  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^{10}$  are as defined in claim 12 and  $\text{R}^{14}$  is one  
or more selected from the group consisting of

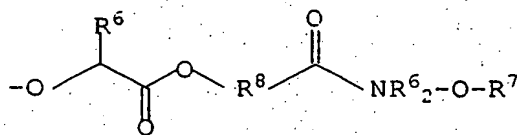
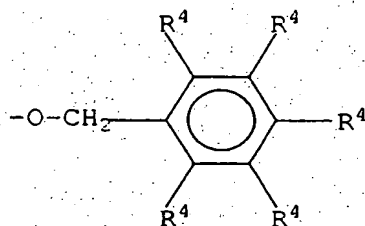
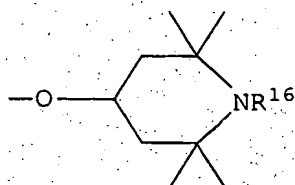
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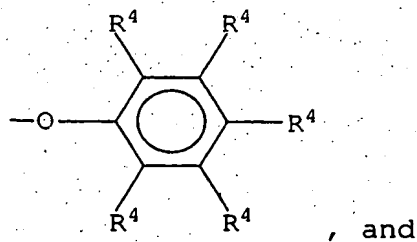
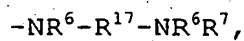
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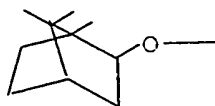


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, and

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wherein each occurrence of  $R^4$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl,  $R^{5'}$  is a radical selected from the group consisting of linear, cyclic or branched  $C_2$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol, alkyl isocyanate, aralkyl isocyanate, blocked alkyl isocyanate, blocked alkaryl isocyanate and radicals derived from polyesters, polyethylene glycol and polypropylene glycol, each occurrence of  $R^6$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl and alkylol,  $R^7$  is selected from the group consisting of H, methyl, linear, cyclic or branched  $C_2$  to  $C_{20}$  alkyl, alkenyl, aryl, alkaryl, aralkyl, alkylol, aralkylol, alkyl thiol, aralkyl thiol and polyamide radicals,  $R^8$  is a linking group selected from the group consisting of linear, cyclic or branched  $C_2$  to  $C_{20}$  alkylene, alkenylene, arylene, alkarylene, aralkylene and oxyalkylene,  $R^{16}$  is selected from hydrogen,  $C_1$  to  $C_4$  alkyl,  $-OH$ ,  $-OR^7$  and  $-C(O)-R^7$ ,  $R^{17}$  is a radical derived from polyethylene glycol, polypropylene glycol and mixtures thereof,  $p$  and  $q$  are each independently from 0 to 6 and the sum of  $p+q$  is at least 2 and not more than 8, each occurrence of  $R^9$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl, and  $X$  is an anion derived from one or more organic or inorganic acids.

24. The composition of claim 1 wherein the composition contains co-reactive functional groups.

25. The composition of claim 24, wherein the composition is a thermosetting composition.

26. The composition of claim 25 containing (a) the copolymer and (b) at least one other component; (a) containing reactive functional groups and (b) containing

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functional groups that are reactive with the functional groups of (a).

27. The composition of claim 26, wherein the  
5 functional groups of the copolymer are one or more selected from the group consisting of epoxy, carboxylic acid, hydroxy, amide, oxazoline, aceto acetate, methylol, methylol ether, isocyanate, carbamate, amine, amine salt, polysulfide, thiol, and sulfonium salt.

10

28. The composition of claim 27, wherein the copolymer further comprises one or more salt groups.

29. The composition of claim 28, wherein the salt  
15 group is an amine salt or an onium salt group.

30. The composition of claim 27, wherein the functional groups, of (b) are selected from the group consisting of epoxy, oxirane, carboxylic acid, hydroxy,  
20 polyol, thiol, isocyanate, capped isocyanate, amine, aminoplast, methylol, methylol ether, and beta-hydroxyalkylamide and which are coreactive with the functional groups in (a).

25 31. The composition of claim 27, wherein (a) has a functional group equivalent weight of from 100 to 5,000 grams / equivalent.

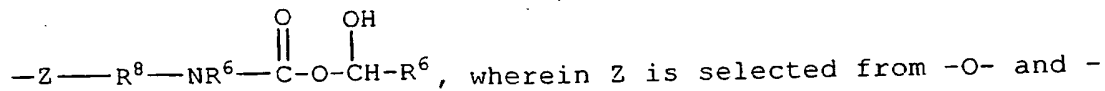
32. A substrate, wherein at least a portion of the  
30 substrate is coated with the thermosetting composition of claim 26.

33. The composition of claim 25 in which the coreactive functional groups are in the copolymer.

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34. The composition of claim 33, wherein the co-reactive functional groups are selected from the group consisting of methylol, methylol ether, polysulfide and



5  $NR^6-$ ,  $R^6$  is independently selected from hydrogen and  $C_1$  to  $C_4$  alkyl and alkylol, and  $R^8$  is a linking group selected from the group consisting of linear, cyclic or branched  $C_2$  to  $C_{20}$  alkylene, alkenylene, arylene, alkarylene, aralkylene and oxyalkylene.

10

35. The composition of claim 33, wherein the co-reactive functional groups are two or more selected from the group consisting of epoxy, carboxylic acid, hydroxy, amide, oxazoline, aceto acetate, isocyanate, capped isocyanate, 15 carbamate, thiol, sulfide, and beta-hydroxyalkylamide.

36. A substrate, wherein at least a portion of the substrate is coated with the thermosetting composition of claim 33.

20

37. A multi-layer composite coating comprising:

(A) a base coat layer deposited from the thermosetting composition of claim 26, wherein the thermosetting composition is a pigmented film-forming base 25 coat composition; and

(B) a substantially pigment free top coat deposited over at least a portion of the base coat layer from a top coat composition.

30

38. A multi-layer composite coating comprising:

(A) a base coat layer deposited from a pigmented film-forming base coat composition; and

(B) a top coat layer deposited from the thermosetting composition of claim 26 over at least a portion

- 70 -

of the base coat layer, wherein the thermosetting composition is a substantially pigment free film-forming top coat composition.

5                   39. A multi-layer composite coating comprising:

(A) a base coat layer deposited from the thermosetting composition of claim 26, wherein the thermosetting composition is a pigmented film-forming base coat composition; and

10                   (B) a top coat layer deposited from the thermosetting composition of claim 26 over at least a portion of the base coat layer, wherein the thermosetting composition is a substantially pigment free film-forming top coat composition.

15                   40. A multi-layer composite coating comprising:

(A) a base coat layer deposited from the thermosetting composition of claim 33, wherein the thermosetting composition is a pigmented film-forming base coat composition; and

20                   (B) a substantially pigment free top coat deposited over at least a portion of the base coat layer from a top coat composition.

25                   41. A multi-layer composite coating comprising:

(A) a base coat layer deposited from a pigmented film-forming base coat composition; and

(B) a top coat layer deposited from the thermosetting composition of claim 33 over at least a portion of the base coat layer, wherein the thermosetting composition is a substantially pigment free film-forming top coat composition.

30                   42. A multi-layer composite coating comprising:

35                   (A) a base coat layer deposited from the thermosetting composition of claim 33, wherein the

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thermosetting composition is a pigmented film-forming base coat composition; and

- (B) a top coat layer deposited from the thermosetting composition of claim 33 over at least a portion  
5 of the base coat layer, wherein the thermosetting composition is a substantially pigment free film-forming top coat composition.



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## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 03/03805

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C08F/00

According to International Patent Classification (IPC) or to both national classification and IPC.

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C08F C09D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

PAJ, WPI Data, EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y	EP 0 879 860 A (BYK-CHEMIE GMBH) 25 November 1998 (1998-11-25) page 2, line 38 - line 57; claims 1-11	1-42
Y	US 5 703 176 A (G. A. UNGEFUG) 30 December 1997 (1997-12-30) the whole document	1-42
	-/-	



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Patent family members are listed in annex.

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Date of the actual completion of the international search

6 June 2003

Date of mailing of the international search report

17/06/2003

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International Application No  
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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
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